

# A Chaotic Walk with Friends

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Birthday Party  
CORIA, France

June 20, 2011

# Thank You

A Chaotic  
Walk with  
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Robert  
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Introduction-  
01

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Deep  
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Deep  
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## Thank You to All My Friends

My colleagues and my friends — my colleagues *are* my friends — introduced me to and then helped to guide me through this new and delightful field.

Many are assembled here today.

To all I express my thanks for helping to make this such a festive occasion.

## Outline

- 1 Overview
- 2 Experimental Challenge
- 3 Topology of Orbits
- 4 Topological Analysis Program
- 5 Basis Sets of Orbits
- 6 Bounding Tori
- 7 Covers and Images
- 8 Quantizing Chaos
- 9 Representation Theory of Strange Attractors
- 10 Summary

# Usual Culprits

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Elia Eschenazi



Jorge Tredicce

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## J. R. Tredicce

# Can you explain my data?

# I bet you can't explain my data!

## Where is Tredicce coming from?

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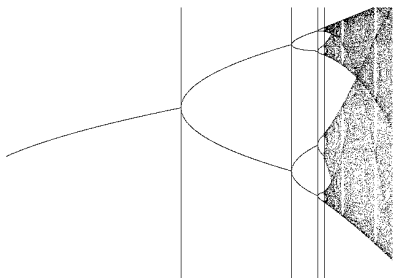
Deep  
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**Feigenbaum:**

$$\alpha = 4.66920\ 16091\ \dots$$

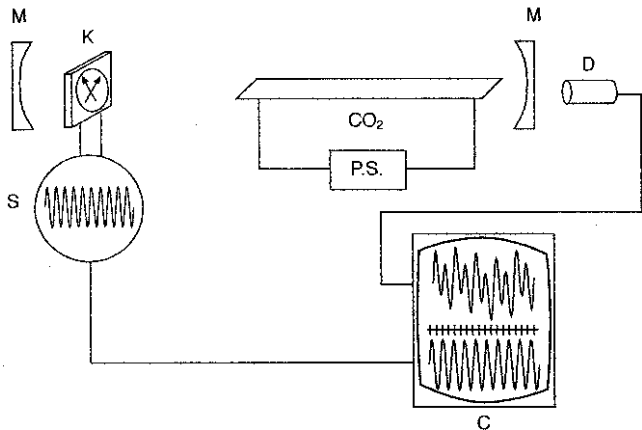
$$\delta = -2.50290\ 78750\ \dots$$

# The Experiment

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## Laser with Modulated Losses Experimental Arrangement



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# Experimental Motivation

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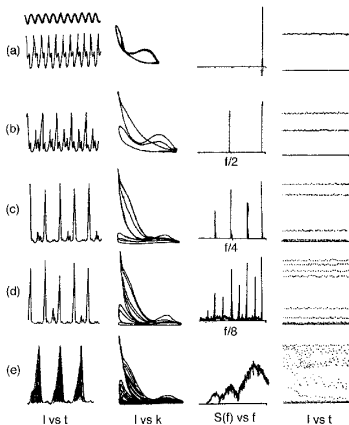
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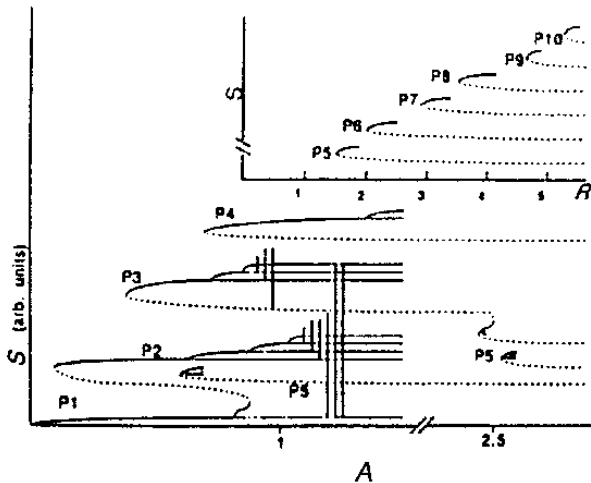
## Oscilloscope Traces





# Results, Single Experiment

## Bifurcation Schematics



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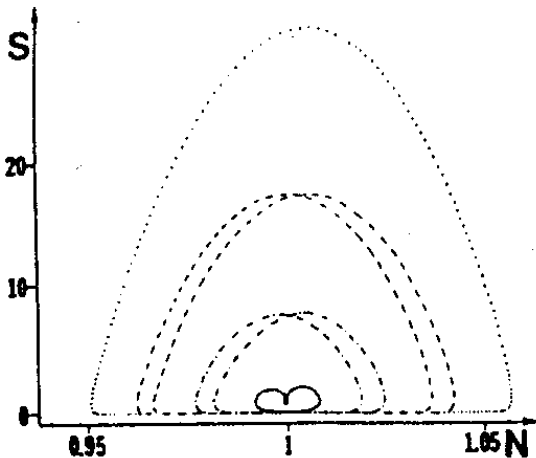
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# Some Attractors

## Coexisting Basins of Attraction



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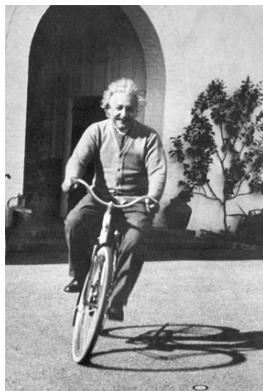
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## What to Grab Hold of ??



## Search for Invariants

# Strange Attractor

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## How to Characterize a Strange Attractor

The  $\Omega$  limit set of the flow. There are unstable periodic orbits “in” the strange attractor. They are

- **“Abundant”**
- **Outline the Strange Attractor**
- **Are the Skeleton of the Strange Attractor**

## Periodic Orbits are the Key



Joseph Fourier  
Linear Systems



Henri Poincaré  
Nonlinear Systems

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# UPOs: Skeletons of Strange Attractors

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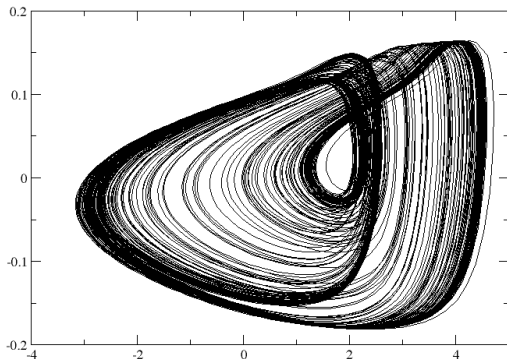
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Here is a Strange Attractor (Belousov-Zhabotinskii Reaction)

# UPOs: Skeletons of Strange Attractors

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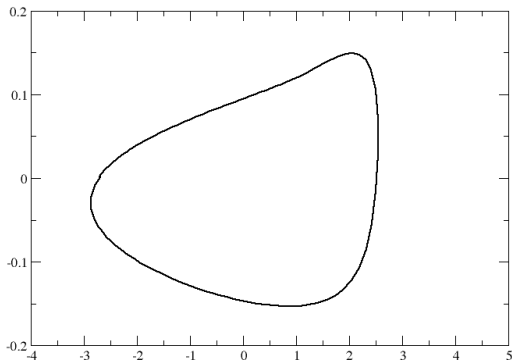
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Here is a period-one orbit in the attractor.

# UPOs: Skeletons of Strange Attractors

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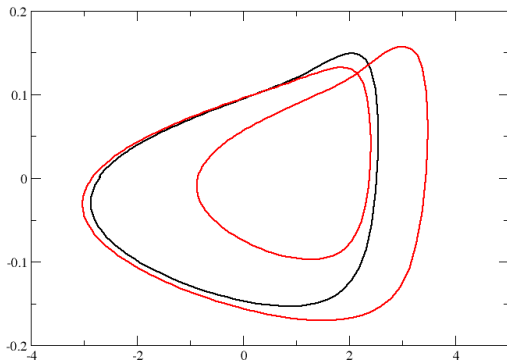
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Period-1 and period-2 orbits from the attractor.



# UPOs: Skeletons of Strange Attractors

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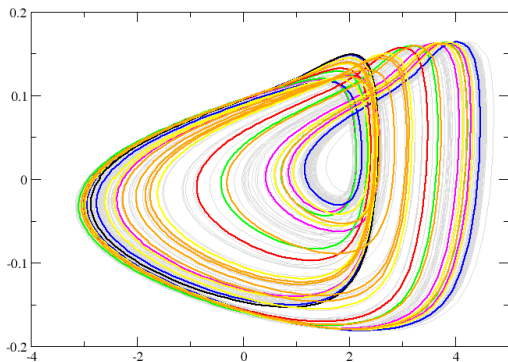
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Lots of them.

# Ask the Masters: 4

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## Quantitative Measures for Periodic Orbits ??



Carl Friedrich Gauss

They Link: Pairwise, 3-Wise, ...

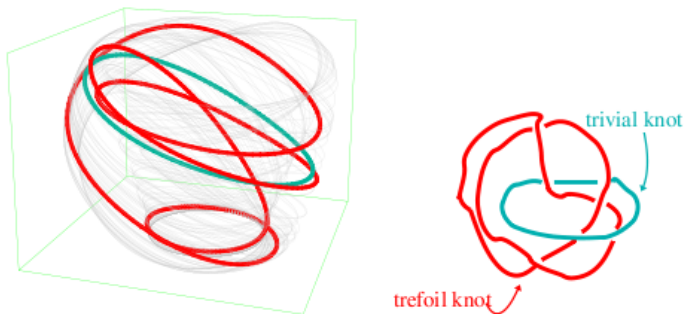
Organization of UPOs in  $R^3$ :

## Gauss Linking Number

$$LN(A, B) = \frac{1}{4\pi} \oint \oint \frac{(\mathbf{r}_A - \mathbf{r}_B) \cdot d\mathbf{r}_A \times d\mathbf{r}_B}{|\mathbf{r}_A - \mathbf{r}_B|^3}$$

# Interpretations of  $LN \simeq$  # Mathematicians in World

## Linking Number of Two UPOs



**Figure 6.** Left: two periodic orbits of periods 1 and 4 embedded in a strange attractor; Right: a link of two knots that is equivalent to the pair of periodic orbits up to continuous deformations without crossings.

Lefranc - Cargese

# Determine Topological Invariants

## Compute Table of Experimental LN

**Table 7.2** Linking numbers for all the surrogate periodic orbits, to period 8, extracted from Belousov–Zhabotinskii data<sup>a</sup>

Orbit	Symbolics	1	2	3	4	5	6	7	8a	8b
1	1	0	1	1	2	2	2	3	4	3
2	01	1	1	2	3	4	4	5	6	6
3	011	1	2	2	4	5	6	7	8	8
4	0111	2	3	4	5	8	8	11	13	12
5	01 011	2	4	5	8	8	10	13	16	15
6	011 0M1	2	4	6	8	10	9	14	16	16
7	01 01 011	3	5	7	11	13	14	16	21	21
8a	01 01 0111	4	6	8	13	16	16	21	23	24
8b	01 011 011	3	6	8	12	15	16	21	24	21

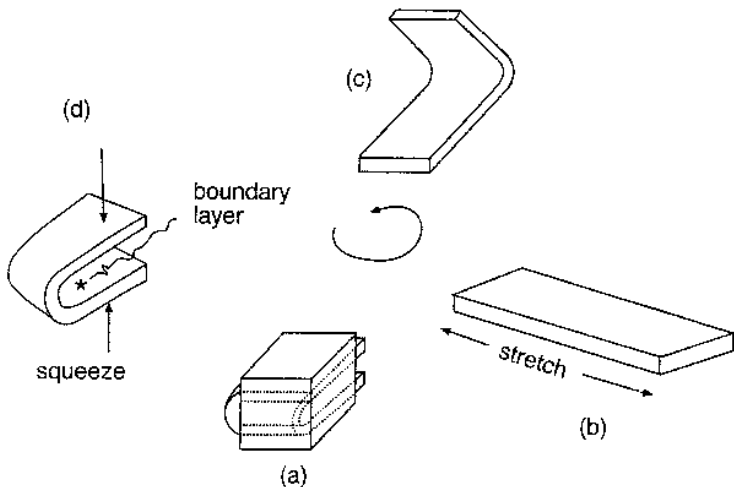
<sup>a</sup>All indices are negative.

# Mechanisms for Generating Chaos

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## Stretching and Folding



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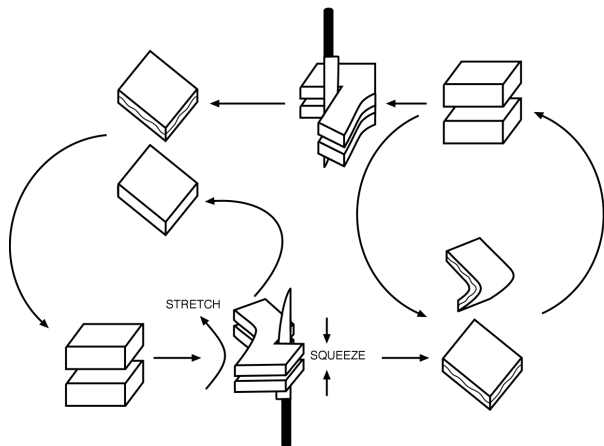
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# Mechanisms for Generating Chaos

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## Tearing and Squeezing



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# Ask the Masters: 5 & 6

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## Systematics of Linking Number Tables



Joan S. Birman



Robert F. Williams

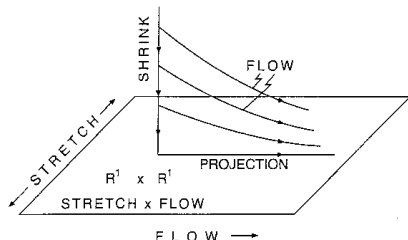


# Collapse Along the Stable Manifold

## Birman - Williams Projection

Identify  $x$  and  $y$  if

$$\lim_{t \rightarrow \infty} |x(t) - y(t)| \rightarrow 0$$



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## Birman - Williams Theorem

**If:**

**Then:**

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## Birman - Williams Theorem

**If:**                      **Certain Assumptions**

**Then:**

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## Birman - Williams Theorem

**If:**                      **Certain Assumptions**

**Then:**                    **Specific Conclusions**

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## Assumptions, B-W Theorem

**A flow**  $\Phi_t(x)$

- **on  $R^n$  is dissipative,  $n = 3$ , so that  $\lambda_1 > 0, \lambda_2 = 0, \lambda_3 < 0$ .**
- **Generates a hyperbolic strange attractor  $SA$**

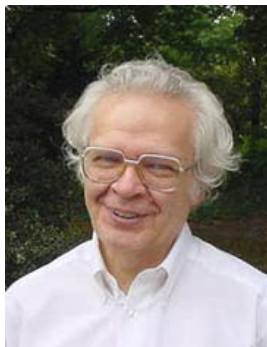
**IMPORTANT:** The underlined assumptions can be relaxed.

## Conclusions, B-W Theorem

- The projection maps the strange attractor  $\mathcal{SA}$  onto a 2-dimensional branched manifold  $\mathcal{BM}$  and the flow  $\Phi_t(x)$  on  $\mathcal{SA}$  to a semiflow  $\bar{\Phi}(x)_t$  on  $\mathcal{BM}$ .
- UPOs of  $\Phi_t(x)$  on  $\mathcal{SA}$  are in 1-1 correspondence with UPOs of  $\bar{\Phi}(x)_t$  on  $\mathcal{BM}$ . Moreover, every link of UPOs of  $(\Phi_t(x), \mathcal{SA})$  is isotopic to the correspond link of UPOs of  $(\bar{\Phi}(x)_t, \mathcal{BM})$ .

Remark: “One of the few theorems useful to experimentalists.”

## Two Standard Strange Attractors



Otto Rössler



Edward Lorenz

# A Very Common Mechanism

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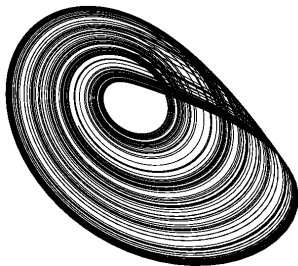
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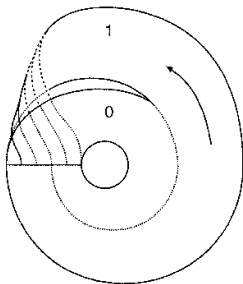
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## Rössler:

### Attractor



### Branched Manifold





# A Mechanism with Symmetry

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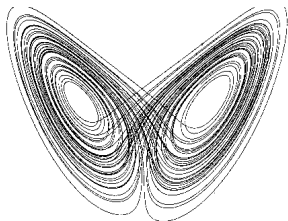
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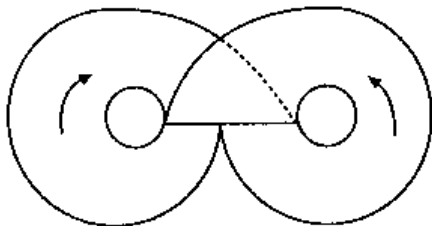
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## Lorenz:

### Attractor



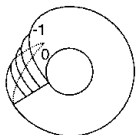
### Branched Manifold



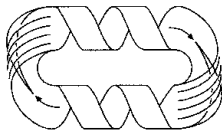
# Examples of Branched Manifolds

## Inequivalent Branched Manifolds

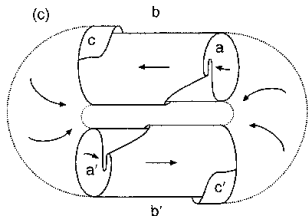
(a)



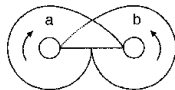
(b)



(c)



(d)



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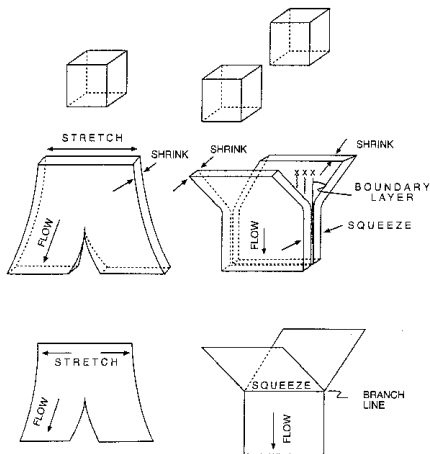
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# Motion of Blobs in Phase Space

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## Stretching — Squeezing



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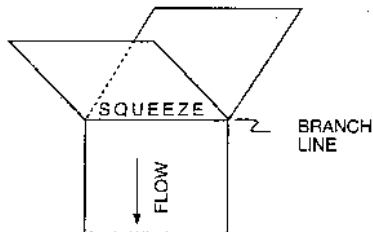
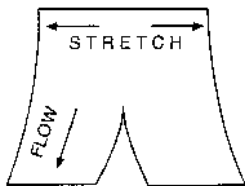
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# Aufbau Princip for Branched Manifolds

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Any branched manifold can be built up from stretching and squeezing units



subject to the conditions:

- Outputs to Inputs
- No Free Ends

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## Rössler System

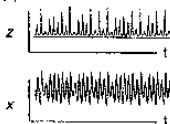
(a) Rössler Equations

$$\frac{dx}{dt} = -y - z$$

$$\frac{dy}{dt} = x + ay$$

$$\frac{dz}{dt} = b + z(x - c)$$

(b)



(c)



(f)

$$\begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & +1 \end{pmatrix}$$

(e)



(f)



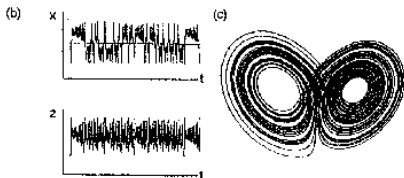
## Lorenz System

(a) Lorenz Equations

$$\frac{dx}{dt} = -\sigma x + \sigma y$$

$$\frac{dy}{dt} = \rho x - y - xz$$

$$\frac{dz}{dt} = -bz + xy$$

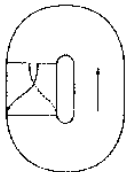


(f)

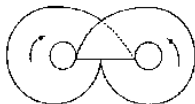
$$\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} + & - \\ - & + \end{bmatrix}$$

(e)



(d)



## Poincaré Smiles at $U$ s in $R^3$

- **Determine organization of UPOs  $\Rightarrow$**
- **Determine branched manifold  $\Rightarrow$**
- **Determine equivalence class of  $\mathcal{SA}$**

# We Like to be Organized

A Chaotic Walk with Friends

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## PERIODIC TABLE OF THE ELEMENTS

<http://www.kf-split.hr/periodic/en/>

PERIOD	GROUP		RELATIVE ATOMIC MASS(1)																GROUP																	
	1	IA	GROUP IUPAC										13	IIIA	14	IVA	15	VA	16	VIA	17	VIIA	18	VIIIA												
	ATOMIC NUMBER		SYMBOL																ATOMIC NUMBER																	
	ELEMENT NAME		ELEMENT NAME																ELEMENT NAME																	
1	1	1.0079																	2	2	4.0026															
2	3	6.941	4	9.0122																	5	10.811			6	12.011	7	14.007	8	15.999	9	18.998	10	20.180		
3	11	22.990	12	24.305																	13	26.982	14	28.086	15	30.974	16	32.065	17	35.453	18	39.948				
4	19	39.098	20	40.078	21	44.956	22	47.867	23	50.942	24	51.996	25	54.938	26	55.845	27	58.933	28	58.933	29	63.546	30	65.38	31	69.723	32	72.04	33	74.922	34	78.96	35	79.904	36	83.80
5	37	85.468	38	87.62	39	88.96	40	91.224	41	92.906	42	95.94	43	(98)	44	101.07	45	102.91	46	106.42	47	107.87	48	112.41	49	114.82	50	118.71	51	121.76	52	127.60	53	126.90	54	131.29
6	55	132.91	56	137.33	57-71 La-Lu Lanthanide		72	178.49	73	180.96	74	183.84	75	186.21	76	190.23	77	192.22	78	195.08	79	196.97	80	200.59	81	204.38	82	207.2	83	208.98	84	(209)	85	(210)	86	(222)
7	87	(223)	88	(226)	89-103 Ac-Lr Actinide		104	(261)	105	(262)	106	(263)	107	(264)	108	(265)	109	(266)	110	(267)	111	(268)	112	(269)	113	(270)	114	(271)	115	(272)	116	(273)	117	(274)	118	(275)
	FRANCIUM		RADIUM		ACTINIDES		104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	
	LANTHANIDES		ACTINIDES		LANTHANIDES		104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	

(1) Pure Appl. Chem., 73, No. 4, 987-993 (2001)

Relative atomic mass is shown with five significant figures. For elements with no stable nuclides, the value enclosed in brackets indicates the mass number of the longest-lived isotope of the element.

However three such elements (Tl, Po, and U) do have a characteristic terrestrial isotopic composition, and for these an atomic weight is tabulated.

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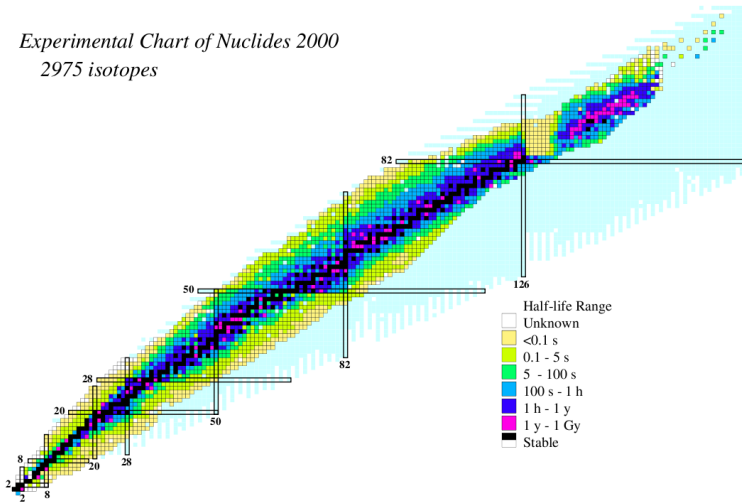


# We Like to be Organized

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

*Experimental Chart of Nuclides 2000*  
2975 isotopes



Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
02

# Usual Culprits: 3 & 4

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
02

## The Topological Team



Hernan G. Solari  
(disguised as Cristal)



Gabriel B. Mindlin

## Topological Analysis Program

- Locate Periodic Orbits
- Create an Embedding
- Determine Topological Invariants (LN)
- Identify a Branched Manifold
- Verify the Branched Manifold

## Additional Steps

- Model the Dynamics
- Validate the Model

# Usual Culprits: 5 & 6

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

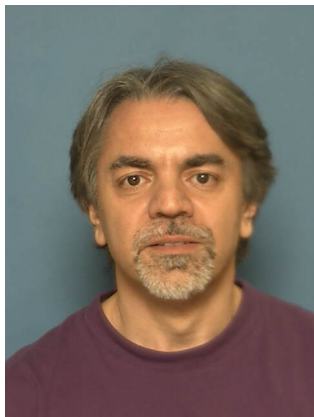
Experimental-  
02

Experimental-  
02

## The Topological Team



Nicholas B. Tufillaro

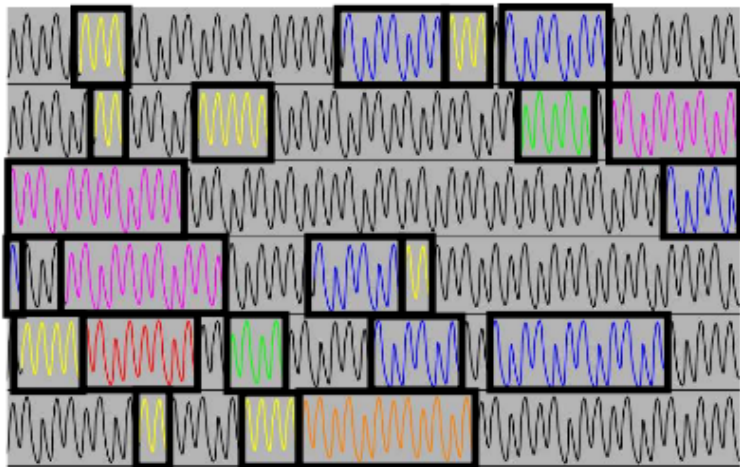


Mario A. Natiello

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Friends

Robert  
Gilmore

## Method of Close Returns



## Embeddings (= Black Magic)

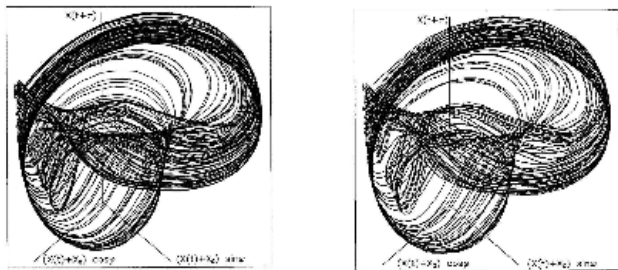
Many Methods: Time Delay, Differential, Hilbert Transforms, SVD, Mixtures, ...

Tests for Embeddings: Geometric, Dynamic, Topological<sup>†</sup>

None Good

We Demand a 3 Dimensional Embedding

## An Embedding and Periodic Orbits



**Figure 5.** Left: a chaotic attractor reconstructed from a time series from a chaotic laser ; Right : Superposition of 12 periodic orbits of periods from 1 to 10.

Lefranc - Cargese

A Chaotic  
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Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
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Background-  
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Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
03

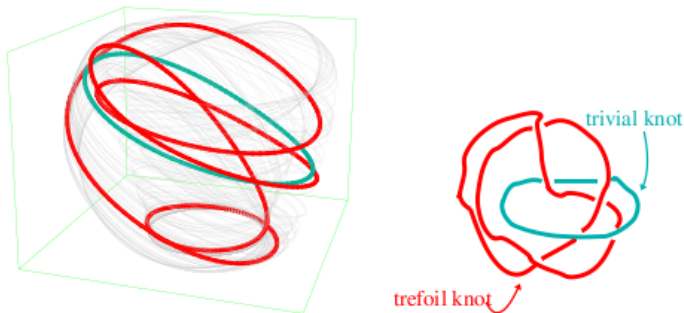
## An Embedding and Periodic Orbits





# Determine Topological Invariants

## Linking Number of Orbit Pairs



**Figure 6.** Left: two periodic orbits of periods 1 and 4 embedded in a strange attractor; Right: a link of two knots that is equivalent to the pair of periodic orbits up to continuous deformations without crossings.

Lefranc - Cargese

# Tabulate Topological Invariants

## Compute Table of Experimental LN

**Table 7.2** Linking numbers for all the surrogate periodic orbits, to period 8, extracted from Belousov–Zhabotinskii data<sup>a</sup>

Orbit	Symbolics	1	2	3	4	5	6	7	8a	8b
1	1	0	1	1	2	2	2	3	4	3
2	01	1	1	2	3	4	4	5	6	6
3	011	1	2	2	4	5	6	7	8	8
4	0111	2	3	4	5	8	8	11	13	12
5	01 011	2	4	5	8	8	10	13	16	15
6	011 0M1	2	4	6	8	10	9	14	16	16
7	01 01 011	3	5	7	11	13	14	16	21	21
8a	01 01 0111	4	6	8	13	16	16	21	23	24
8b	01 011 011	3	6	8	12	15	16	21	24	21

<sup>a</sup>All indices are negative.

# Compare Topological Invariants

## Compare w. LN From Various $BM$

**Table 2.1** Linking numbers for orbits to period five in Smale horseshoe dynamics.

	$1^s$	$1^f$	$2_1$	$3^f$	$3^s$	$4_1$	$4_2^f$	$4_2^s$	$5_2^f$	$5_2^s$	$5_2^f$	$5_2^s$	$5_1^f$	$5_1^s$
	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	1	1	1	2	1	1	1	1	2	2	2
	01	0	1	1	2	2	3	2	2	2	2	3	3	4
	001	0	1	2	2	3	4	3	3	3	3	4	4	5
	011	0	1	2	3	2	4	3	3	3	3	5	5	5
	0111	0	2	3	4	4	5	4	4	4	4	7	7	8
	0001	0	1	2	3	3	4	3	4	4	4	5	5	5
	0011	0	1	2	3	3	4	4	3	4	4	5	5	5
	00001	0	1	2	3	3	4	4	4	4	5	5	5	5
	00011	0	1	2	3	3	4	4	4	5	4	5	5	5
	00111	0	2	3	4	5	7	5	5	5	5	6	7	8
	00101	0	2	3	4	5	7	5	5	5	5	7	6	8
	01101	0	2	4	5	5	8	5	5	5	5	8	8	8
	01111	0	2	4	5	5	8	5	5	5	5	9	9	10
	01111	0	2	4	5	5	8	5	5	5	5	9	9	10

# Propose Branched Manifold

## Guess Branched Manifold

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

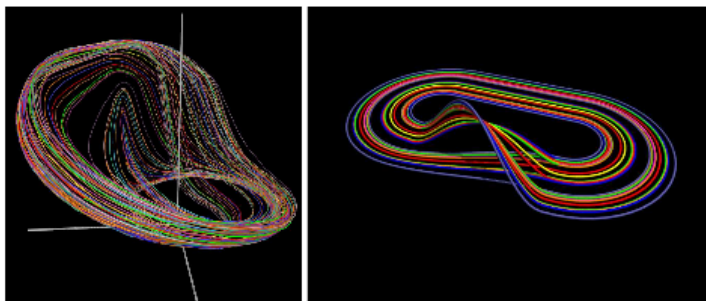
Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
03



**Figure 7.** “Combing” the intertwined periodic orbits (left) reveals their systematic organization (right) created by the stretching and squeezing mechanisms.

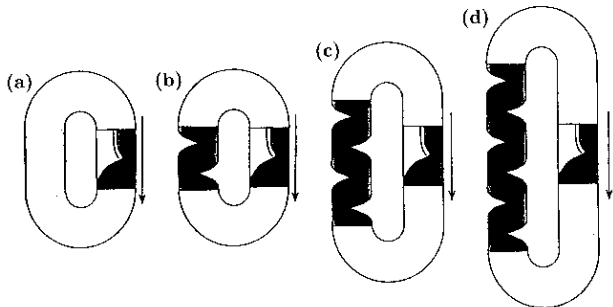
Lefranc - Cargese

## Identification & ‘Confirmation’

- $\mathcal{BM}$  Identified by LN of small number of orbits
- Table of LN GROSSLY overdetermined
- Predict LN of additional orbits
- Rejection criterion (Fail to Reject  $H_0$ )

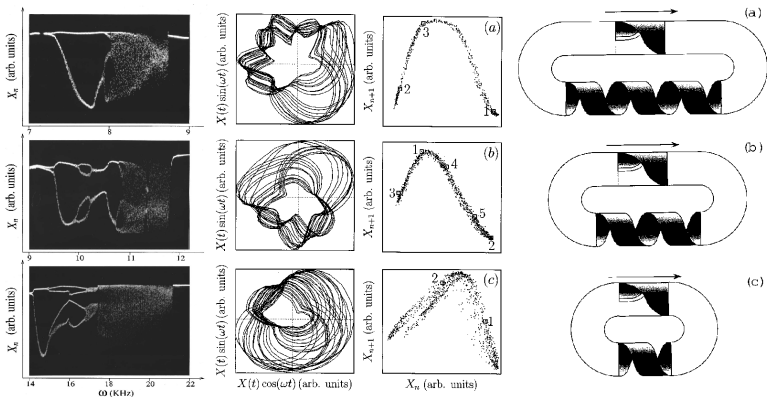
## What Do We Learn?

- $BM$  Depends on Embedding
- Some things depend on embedding, some don't
- Depends on Embedding: Global Torsion, Parity, ..
- Independent of Embedding: Mechanism



# A Perestroika

## Evolution Under Parameter Change



A Chaotic Walk with Friends

Robert Gilmore

Introduction-01

Introduction-02

Deep Background-01

Deep Background-02

Deep Background-03

Experimental-01

Experimental-02

Experimental-03

# Perestroikas of Strange Attractors

## Evolution Under Parameter Change

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

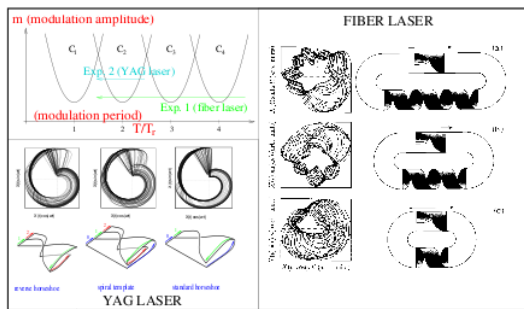
Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
03



**Figure 11.** Various templates observed in two laser experiments. Top left: schematic representation of the parameter space of forced nonlinear oscillators showing resonance tongues. Right: templates observed in the fiber laser experiment; global torsion increases systematically from one tongue to the next [40]. Bottom left: templates observed in the YAG laser experiment (only the branches are shown); there is a variation in the topological organization across one chaotic tongue [39, 41].



# An Unexpected Benefit

## Analysis of Nonstationary Data

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

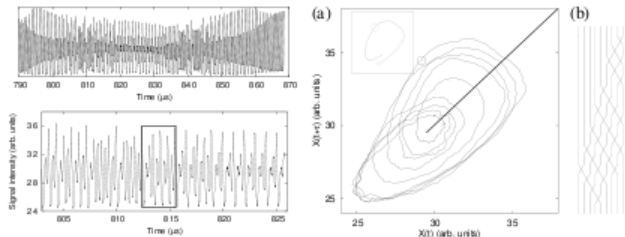
Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
03



**Figure 16.** Top left: time series from an optical parametric oscillator showing a burst of irregular behavior. Bottom left: segment of the time series containing a periodic orbit of period 9. Right: embedding of the periodic orbit in a reconstructed phase space and representation of the braid realized by the orbit. The braid entropy is  $h_T = 0.377$ , showing that the underlying dynamics is chaotic. Reprinted from [61].








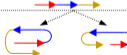



Lefranc - Cargese

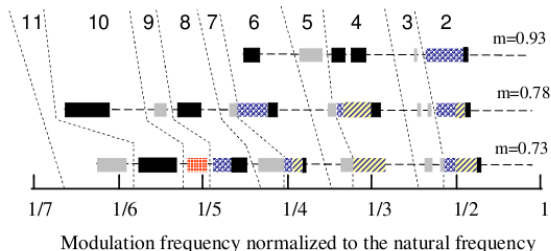
# A Prediction Realized

A Chaotic Walk with Friends

Robert Gilmore

TABLE 1 – Folding processes characteristic of the different species of templates treated in this work

Species	Horseshoe	Reverse horseshoe	Out-to-in spiral	In-to-out spiral	Staple	S
Code in Fig. 1				Not found here		
Insertion matrix	(0 1)	(1 0)	(0 2 1)	(1 2 0)	(0 2 1) or (1 2 0)	(2 1 0)
Sketch of the folding process						



Used and Martin (2010)

Introduction-01

Introduction-02

Deep Background-01

Deep Background-02

Deep Background-03

Experimental-01

Experimental-02

Experimental-03

## Compare with Original Objectives

Construct a simple, algorithmic procedure for:

- Classifying strange attractors
- Extracting classification information

from experimental signals.

# Orbits Can be “Pruned”

## There Are Some Missing Orbits

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Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

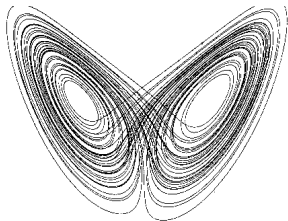
Deep  
Background-  
02

Deep  
Background-  
03

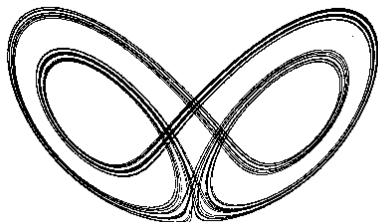
Experimental-  
01

Experimental-  
02

Experimental-  
03



Lorenz



Shimizu-Morioka

# Usual Culprits: 8

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
02

## There Are Some Missing Orbits

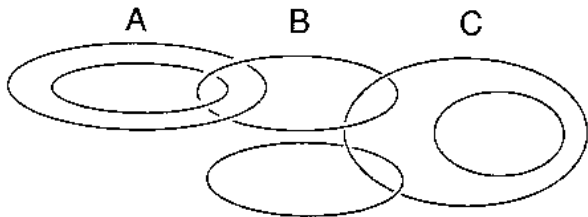


Francisco Papoff

Arimondo et al.

- The branched manifold remains unchanged,
- the spectrum of orbits on it changes.

## Topological Orbit Forcing



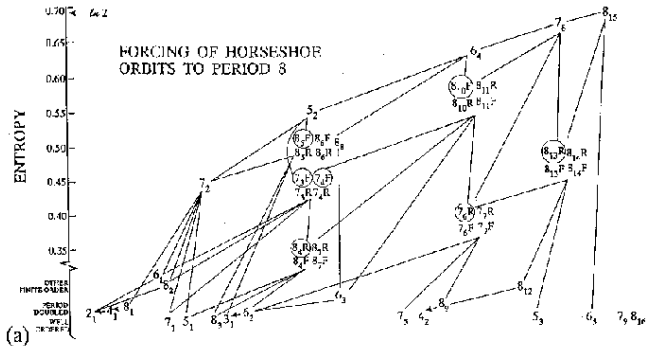
$A \Rightarrow B$

$B \Rightarrow C$

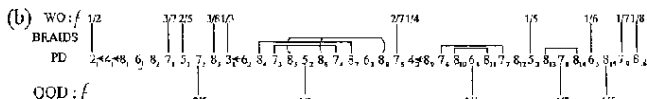
$A \Rightarrow C$

# An Ongoing Problem

## Forcing Diagram - Horseshoe



### U - SEQUENCE ORDER



## Status of Problem

- Horseshoe organization - active
- More folding - barely begun
- Circle forcing - even less known
- Higher genus - new ideas required

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
03



## Groups and Strange Attractors

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
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Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
03



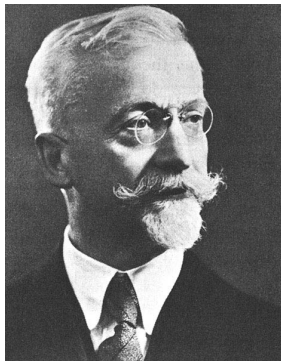
Christophe Letellier

# Ask the Masters: 9

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

What is the relation between  
symmetry groups & strange attractors?



Elie Cartan

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

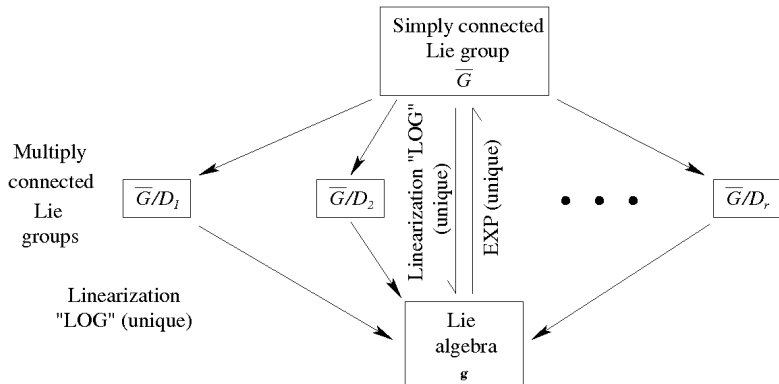
Deep  
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03

Experimental-  
01

Experimental-  
02

Experimental-  
03

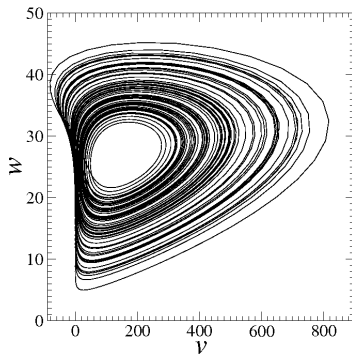
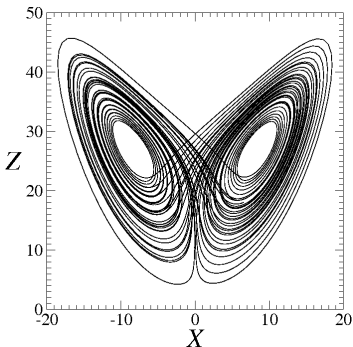
## Cartan's Theorem for Lie Groups



# Modding Out a Rotation Symmetry

## Modding Out a Rotation Symmetry

$$\begin{pmatrix} X \\ Y \\ Z \end{pmatrix} \rightarrow \begin{pmatrix} u \\ v \\ w \end{pmatrix} = \begin{pmatrix} \operatorname{Re} (X + iY)^2 \\ \operatorname{Im} (X + iY)^2 \\ Z \end{pmatrix}$$



A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
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Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
02

# Lorenz Attractor and Its Image

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

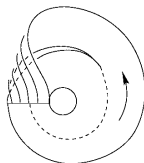
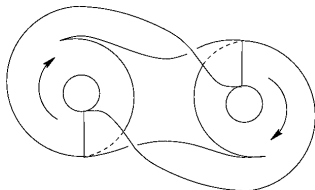
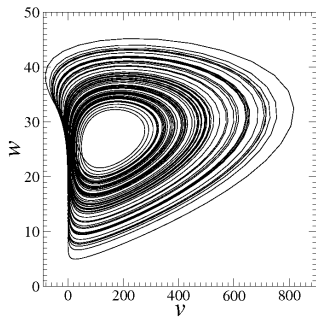
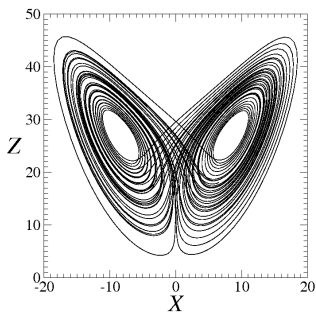
Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

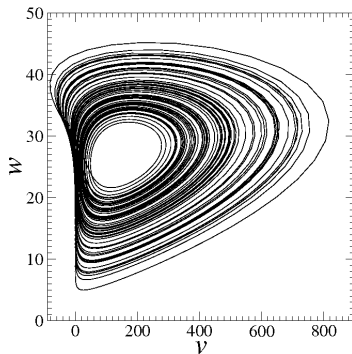
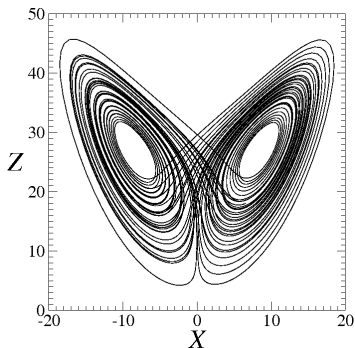
Experimental-  
03



# Lifting an Attractor: Cover-Image Relations

## Creating a Cover with Symmetry

$$\begin{pmatrix} X \\ Y \\ Z \end{pmatrix} \leftarrow \begin{pmatrix} u \\ v \\ w \end{pmatrix} = \begin{pmatrix} \operatorname{Re} (X + iY)^2 \\ \operatorname{Im} (X + iY)^2 \\ Z \end{pmatrix}$$



## Cover-Image Branched Manifolds

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

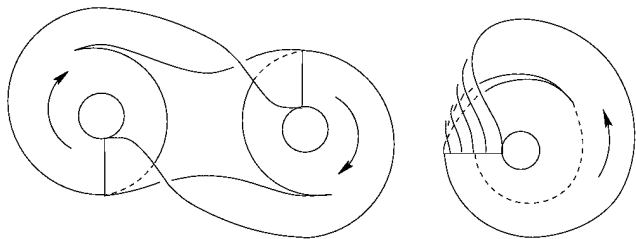
Deep  
Background-  
02

Deep  
Background-  
03

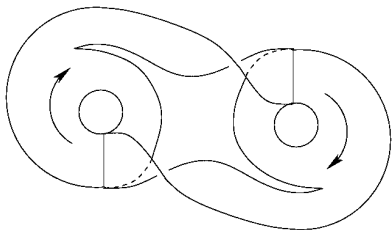
Experimental-  
01

Experimental-  
02

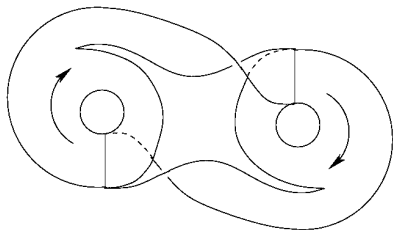
Experimental-  
03



## Two Two-fold Lifts Different Symmetry



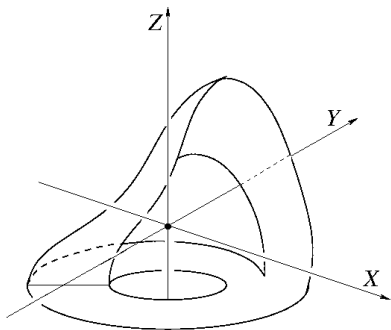
**Rotation  
Symmetry**



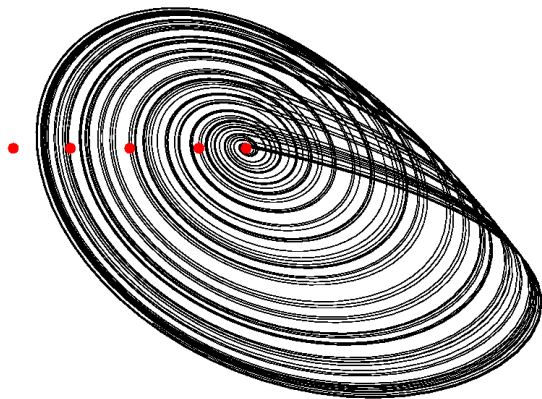
**Inversion  
Symmetry**



## Topological Index: Choose Group Choose Rotation Axis (Singular Set)



## Different Rotation Axes Produce Different (Nonisotopic) Lifts



A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
02

# Nonisotopic Locally Diffeomorphic Lifts

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

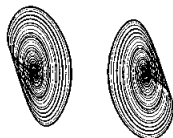
Experimental-  
02



(a)  $\mu = 0.0$



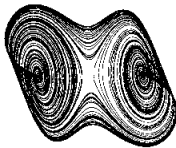
(c)  $\mu = -2.083$



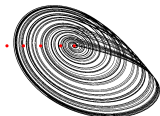
(e)  $\mu = -4.166$



(b)  $\mu = -0.84548$



(d)  $\mu = -3.14674$

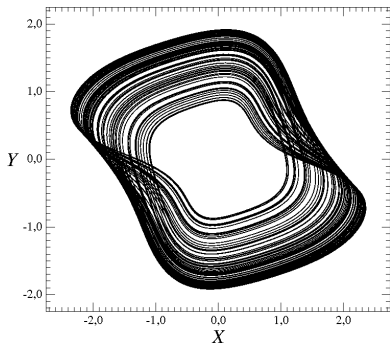
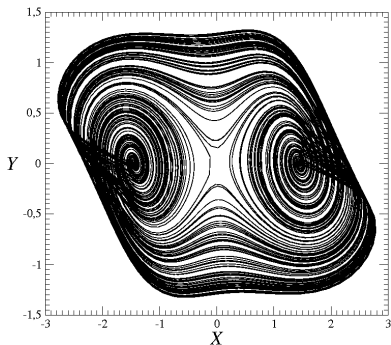


Indices  $(0,1)$  and  $(1,1)$

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

# Two Two-fold Covers Same Symmetry



Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

Deep  
Background-  
02

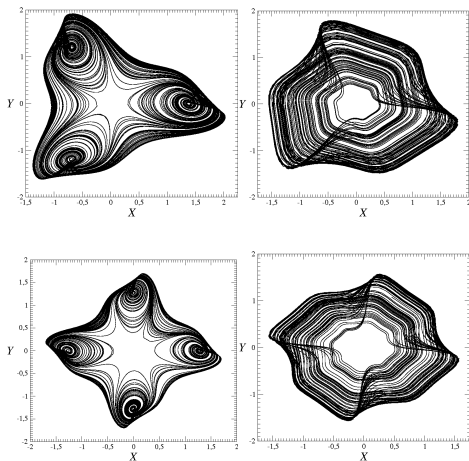
Deep  
Background-  
03

Experimental-  
01

Experimental-  
02

Experimental-  
02

## Three-fold, Four-fold Covers



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Walk with  
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Background-  
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Background-  
03

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02

# Two Inequivalent Lifts with $V_4$ Symmetry

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Walk with  
Friends

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Gilmore

Introduction-  
01

Introduction-  
02

Deep  
Background-  
01

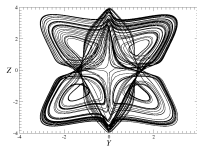
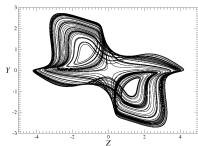
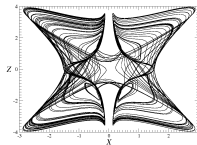
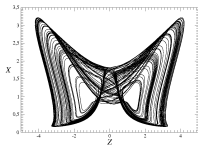
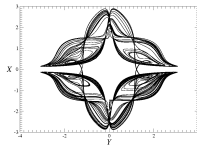
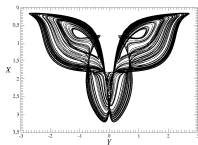
Deep  
Background-  
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Deep  
Background-  
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01

Experimental-  
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02



# Ask the Masters: 9

A Chaotic  
Walk with  
Friends

Robert  
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Background-  
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Background-  
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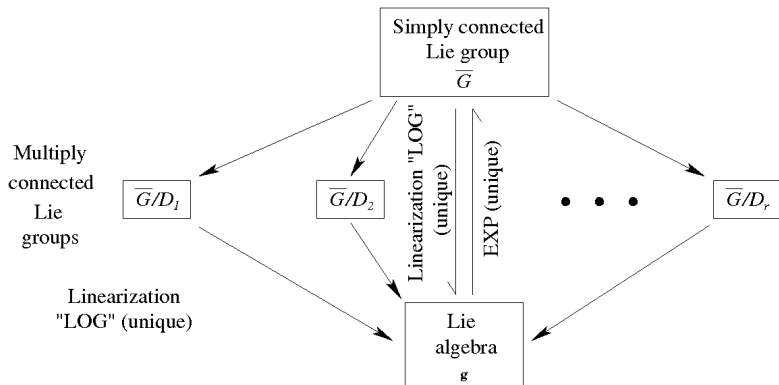
Experimental-  
02

Experimental-  
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Elie Cartan

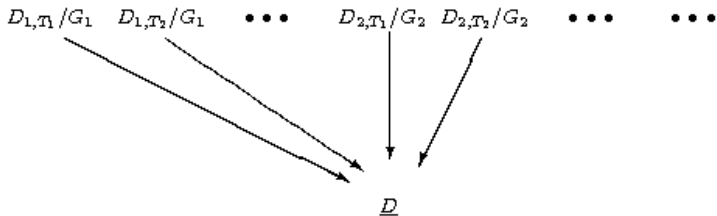
## Cartan's Theorem for Lie Groups





# Universal Image Dynamical System

## Locally Diffeomorphic Covers of $\underline{D}$



$\underline{D}$ : Universal Image Dynamical System

## Constraints on Branched Manifolds

**“Inflate” a strange attractor**

**Union of  $\epsilon$  ball around each point**

**Boundary is surface of bounded 3D manifold**

**Torus that bounds strange attractor**

# Ask the Masters: 10

A Chaotic  
Walk with  
Friends

Robert  
Gilmore

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Background-  
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Background-  
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Background-  
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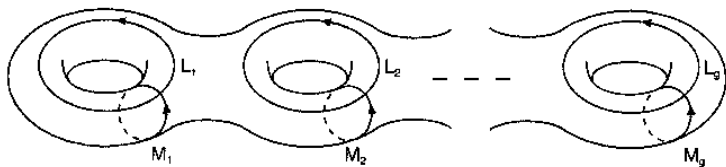
Experimental-  
03

How do we characterize surfaces?



Leonard Euler  
Count holes:  $\chi(\partial\mathcal{M})$

## Torus, Longitudes, Meridians



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# Usual Culprits: 10

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What kind of “dressed tori” enclose strange attractors?



Tsvetelin D. Tsankov  
Markov Matrices and Symmetric Cycles

## Surface Singularities

**Flow field: three eigenvalues: +, 0, -**

**Vector field “perpendicular” to surface**

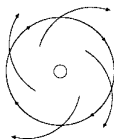
**Eigenvalues on surface at fixed point: +, -**

**All singularities are regular saddles**

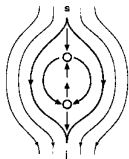
$$\sum_{s.p.} (-1)^{\text{index}} = \chi(S) = 2 - 2g$$

**# fixed points on surface = index =  $2g - 2$**

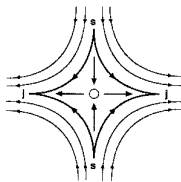
## Flow Near a Singularity



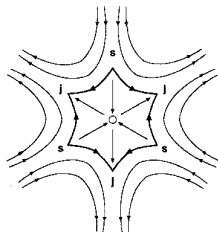
(a)



(b)



(c)



(d)

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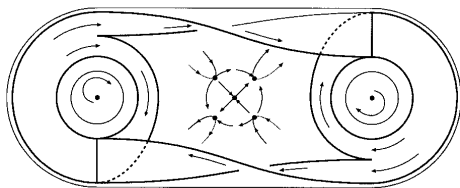
Deep  
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01

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## Torus Bounding Lorenz-like Flows



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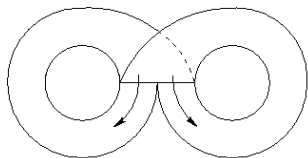
Experimental-  
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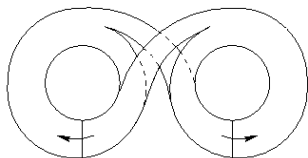
Experimental-  
03



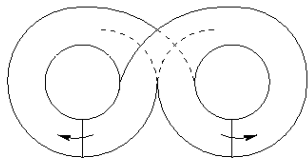
## Twisting the Lorenz Attractor



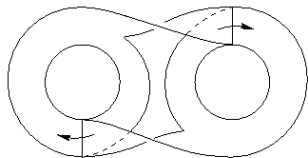
(a)



(c)

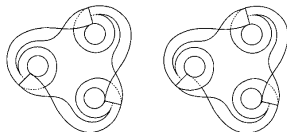


(b)



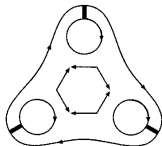
(d)

## Two possible branched manifolds in the torus with $g=4$ .



(a)

(b)



(c)

# Bounding Tori contain all known Strange Attractors

Tab.1. All known strange attractors of dimension  $d_L < 3$  are bounded by one of the standard dressed tori.

Strange Attractor	Dressed Torus	Period $g - 1$ Orbit
Rössler, Duffing, Burke and Shaw	$A_1$	1
Various Lasers, Gateau Roule	$A_1$	1
Neuron with Subthreshold Oscillations	$A_1$	1
Shaw-van der Pol	$A_1 \cup A_1^{(1)}$	$1 \cup 1$
Lorenz, Shimizu-Morioka, Rikitake	$A_2$	$(12)^2$
Multispiral attractors	$A_n$	$(12^{n-1})^2$
$C_n$ Covers of Rössler	$C_n$	$1^n$
$C_2$ Cover of Lorenz <sup>(a)</sup>	$C_4$	$1^4$
$C_2$ Cover of Lorenz <sup>(b)</sup>	$A_8$	$(122)^2$
$C_n$ Cover of Lorenz <sup>(a)</sup>	$C_{2n}$	$1^{2n}$
$C_n$ Cover of Lorenz <sup>(b)</sup>	$P_{n+1}$	$(1n)^n$
$2 \rightarrow 1$ Image of Fig. 8 Branched Manifold	$A_8$	$(122)^2$
Fig. 8 Branched Manifold	$P_8$	$(14)^4$

(a) Rotation axis through origin.  
 (b) Rotation axis through one focus.

## Labeling Bounding Tori

**Poincaré section is disjoint union of  $g-1$  disks**

**Transition matrix sum of two  $g-1 \times g-1$  matrices**

**One is cyclic  $g-1 \times g-1$  matrix**

**Other represents union of cycles**

**Labeling via (permutation) group theory**

# Some Bounding Tori

## Bounding Tori of Low Genus

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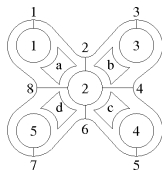
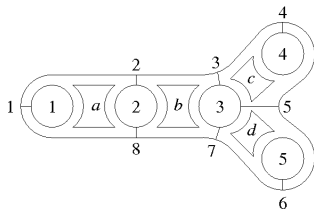
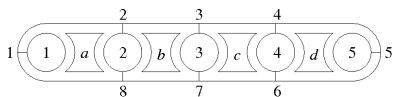
Experimental-  
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TABLE I: Enumeration of canonical forms up to genus 9

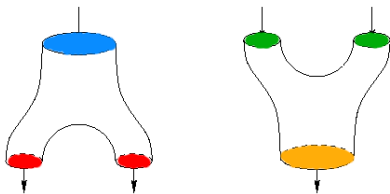
$g$	$m$	$(p_1, p_2, \dots, p_m)$	$n_1 n_2 \dots n_{g-1}$
1	1	(0)	1
3	2	(2)	11
4	3	(3)	111
5	4	(4)	1111
5	3	(2,2)	1212
6	5	(5)	11111
6	4	(3,2)	12112
7	6	(6)	111111
7	5	(4,2)	112121
7	5	(3,3)	112112
7	4	(2,2,2)	122122
7	4	(2,2,2)	131313
8	7	(7)	1111111
8	6	(5,2)	1211112
8	6	(4,3)	1211121
8	5	(3,2,2)	1212212
8	5	(3,2,2)	1221221
8	5	(3,2,2)	1313131
9	8	(8)	11111111
9	7	(6,2)	11111212
9	7	(5,3)	11112112
9	7	(4,4)	11121112
9	6	(4,2,2)	11122122
9	6	(4,2,2)	11131313
9	6	(4,2,2)	11212212
9	6	(4,2,2)	12121212
9	6	(3,3,2)	11212122
9	6	(3,3,2)	11221122
9	6	(3,3,2)	11221212
9	6	(3,3,2)	11313133
9	5	(2,2,2,2)	12221222
9	5	(2,2,2,2)	12313132
9	5	(2,2,2,2)	14141414

## Some Genus-9 Bounding Tori



# Aufbau Princip for Bounding Tori

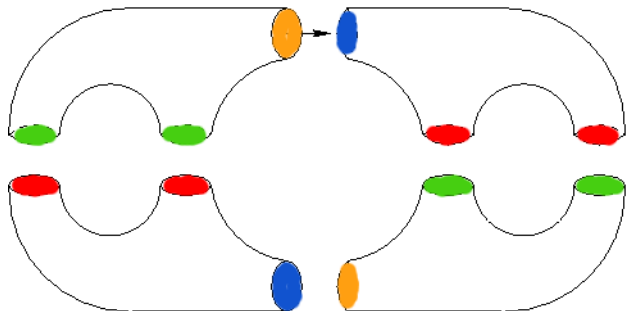
Any bounding torus can be built up from equal numbers of stretching and squeezing units



- **Outputs to Inputs**
- **No Free Ends**
- **Colorless**

# Aufbau Princip for Bounding Tori

## Application: Lorenz Dynamics, $g=3$



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## Construction of Poincaré Section

P. S. = Union 

# Components =  $g-1$

## The Growth is Exponential

TABLE I: Number of canonical bounding tori as a function of genus,  $g$ .

$g$	$N(g)$	$g$	$N(g)$	$g$	$N(g)$
3	1	9	15	15	2211
4	1	10	28	16	5549
5	2	11	67	17	14290
6	2	12	145	18	36824
7	5	13	368	19	96347
8	6	14	870	20	252927

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# Usual Culprits: 11

How quickly does the number of bounding tori increase with  $g$ ?



Jacob Katriel

Magician with permutation group cycles.

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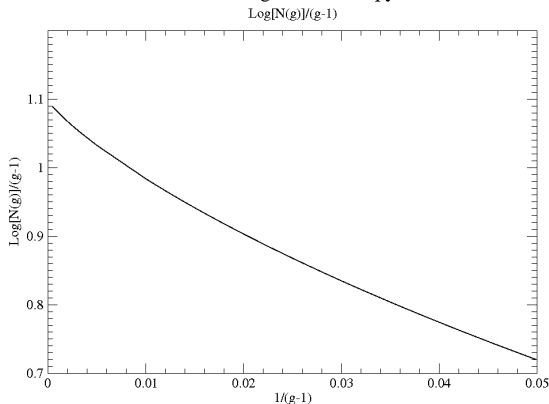
Experimental-  
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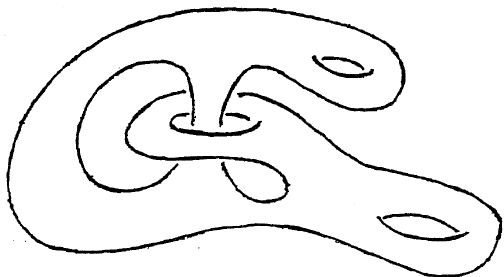
Experimental-  
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## The Growth is Exponential The Entropy is $\log 3$

Bounding Torus Entropy



## Extrinsic Embedding of Intrinsic Tori



Partial classification by links of homotopy group generators.  
Nightmare Numbers are Expected.

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# Ask the Masters: 11

Could there be representation theory for strange attractors?



Eugene Wigner  
Of course.

There is a representation theory for everything!

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## Embeddings

An embedding creates a diffeomorphism between an ('invisible') dynamics in someone's laboratory and a ('visible') attractor in somebody's computer.

Embeddings provide a *representation* of an attractor.

Equivalence is by Isotopy.

Irreducible is by Dimension

# Usual Culprits: 12

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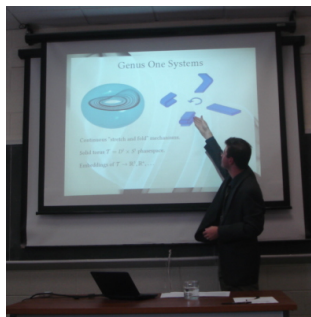
Experimental-  
02

Is there a representation theory for strange attractors?

What is it? How does it work?



Daniel J. Cross  
Hard at work (pretending)!



Daniel J. Cross  
Instructing us.



## Inequivalent Irreducible Representations

Irreducible Representations of 3-dimensional Genus-one attractors are distinguished by three topological labels:

Parity	P
Global Torsion	N
Knot Type	KT

$$\Gamma^{P,N,KT}(\mathcal{SA})$$

*Mechanism* (stretch & fold, stretch & roll) is an invariant of embedding. It is independent of the representation labels.

## Equivalent Reducible Representations

Topological indices (P,N,KT) are obstructions to isotopy for embeddings of minimum dimension (irreducible representations).

Are these obstructions removed by injections into higher dimensions (reducible representations)?

Systematically?

## Equivalences by Injection

### Obstructions to Isotopy

$$\begin{array}{ccc} R^3 & \longrightarrow & R^4 & \longrightarrow & R^5 \\ \text{Global Torsion} & & \text{Global Torsion} & & \\ \text{Parity} & & & & \\ \text{Knot Type} & & & & \end{array}$$

There is one *Universal* reducible representation in  $R^N$ ,  $N \geq 5$ .  
In  $R^N$  the only topological invariant is *mechanism*.

# Usual Culprits: 13 & 14

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After fixed points — Organizing curves?

What? How?



Tim Jones



Jean-Marc Ginoux

## Rotating the Attractor

$$\frac{d}{dt} \begin{bmatrix} X \\ Y \end{bmatrix} = \begin{bmatrix} F_1(X, Y) \\ F_2(X, Y) \end{bmatrix} + \begin{bmatrix} a_1 \sin(\omega_d t + \phi_1) \\ a_2 \sin(\omega_d t + \phi_2) \end{bmatrix}$$

$$\begin{bmatrix} u(t) \\ v(t) \end{bmatrix} = \begin{bmatrix} \cos \Omega t & -\sin \Omega t \\ \sin \Omega t & \cos \Omega t \end{bmatrix} \begin{bmatrix} X(t) \\ Y(t) \end{bmatrix}$$

$$\frac{d}{dt} \begin{bmatrix} u \\ v \end{bmatrix} = R\mathbf{F}(R^{-1}\mathbf{u}) + R\mathbf{t} + \Omega \begin{bmatrix} -v \\ +u \end{bmatrix}$$

$$\Omega = n \omega_d$$

$$q \Omega = p \omega_d$$

Global Diffeomorphisms

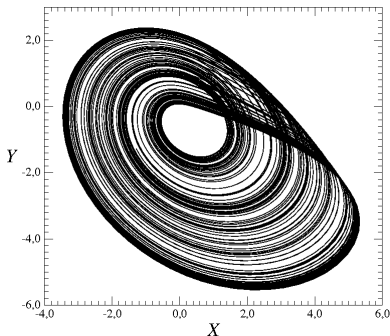
Local Diffeomorphisms

(p-fold covers)

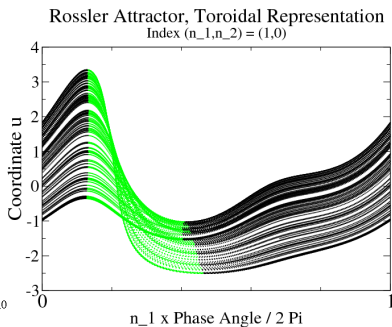
# Two Phase Spaces: $R^3$ and $D^2 \times S^1$

## Rosler Attractor: Two Representations

$R^3$



$D^2 \times S^1$



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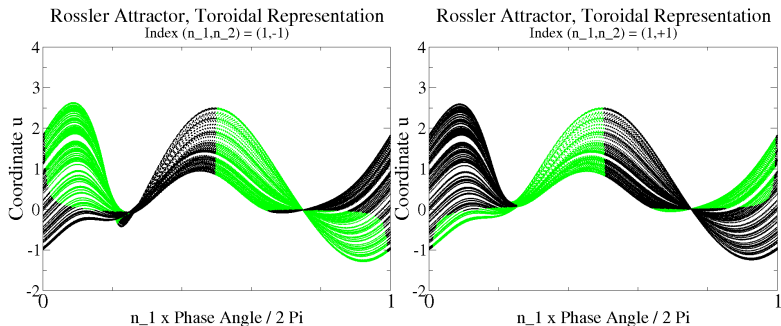
Experimental-  
01

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## Rossler Attractor:

### Two More Representations with $n = \pm 1$



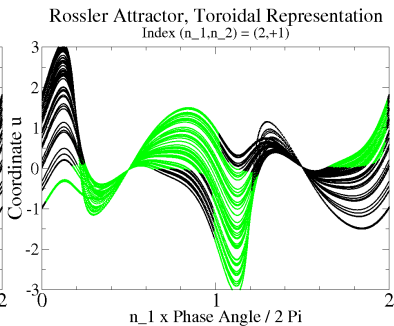
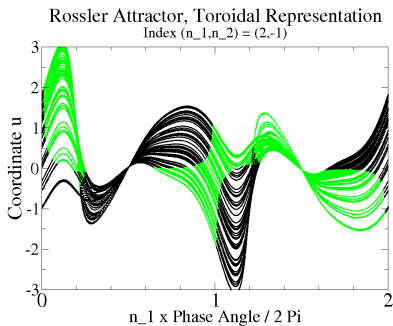
# Subharmonic, Locally Diffeomorphic Attractors

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## Rossler Attractor:

### Two Two-Fold Covers with $p/q = \pm 1/2$



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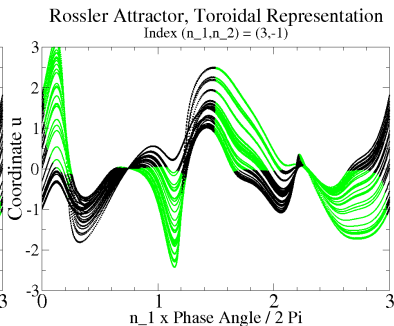
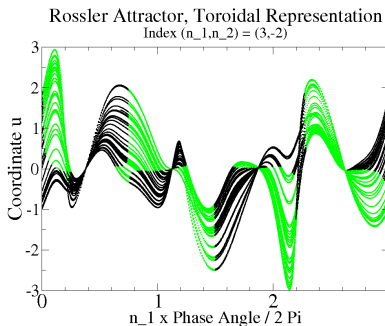
# Subharmonic, Locally Diffeomorphic Attractors

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## Rosler Attractor:

Two Three-Fold Covers with  $p/q = -2/3, -1/3$



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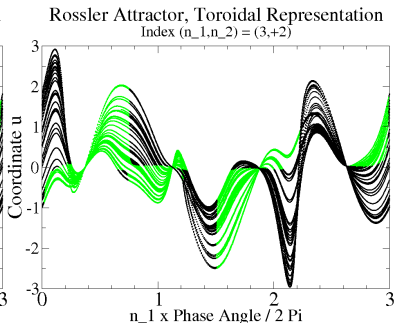
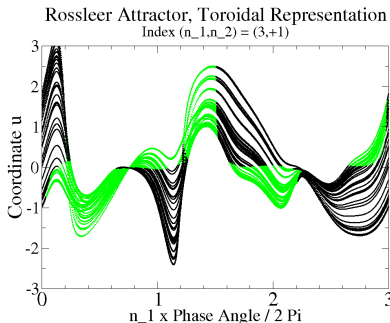
# Subharmonic, Locally Diffeomorphic Attractors

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## Rossler Attractor:

And Even More Covers (with  $p/q = +1/3, +2/3$ )



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## Angular Momentum and Energy

$$L(0) = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau X dY - Y dX \qquad K(0) = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau \frac{1}{2} (\dot{X}^2 + \dot{Y}^2) dt$$

$$L(\Omega) = \langle uv - vu \rangle \qquad K(\Omega) = \left\langle \frac{1}{2} (\dot{u}^2 + \dot{v}^2) \right\rangle$$

$$= L(0) + \Omega \langle R^2 \rangle \qquad = K(0) + \Omega L(0) + \frac{1}{2} \Omega^2 \langle R^2 \rangle$$

$$\langle R^2 \rangle = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau (X^2 + Y^2) dt = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau (u^2 + v^2) dt$$

# New Measures, Diffeomorphic Attractors

## Energy and Angular Momentum

### Diffeomorphic, Quantum Number $n$

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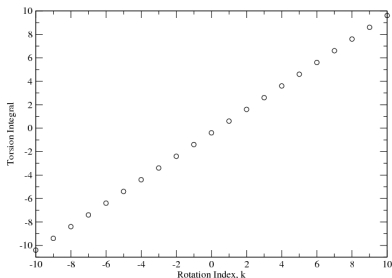
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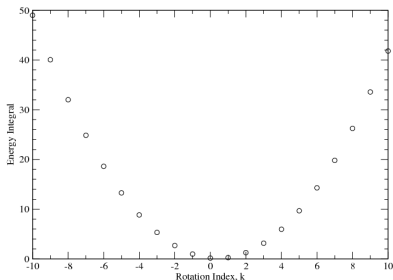
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Torsion Integral



Energy Integral



# New Measures, Subharmonic Covering Attractors

## Energy and Angular Momentum Subharmonics, Quantum Numbers $p/q$

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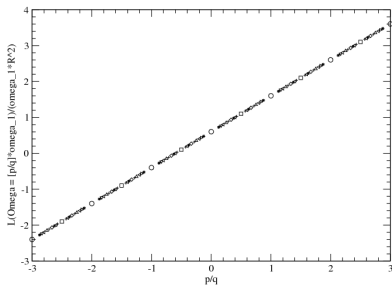
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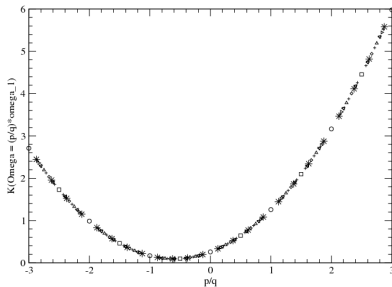
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Torsion Integral



Energy Integral



## Summary

**1 Question Answered  $\Rightarrow$   
2 Questions Raised**

**We must be on the right track !**

# Original Objectives Achieved

There is now a simple, algorithmic procedure for:

- Classifying strange attractors
- Extracting classification information

from experimental signals.

# Result

**There is now a classification theory  
for low-dimensional strange attractors.**

- ① It is topological
- ② It has a hierarchy of 4 levels
- ③ Each is discrete
- ④ There is rigidity and degrees of freedom
- ⑤ It is applicable to  $R^3$  only — for now



# The Classification Theory has 4 Levels of Structure

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# The Classification Theory has 4 Levels of Structure

## ① Basis Sets of Orbits

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# The Classification Theory has 4 Levels of Structure

- 1 Basis Sets of Orbits
- 2 Branched Manifolds

# The Classification Theory has 4 Levels of Structure

- 1 Basis Sets of Orbits
- 2 Branched Manifolds
- 3 Bounding Tori

# The Classification Theory has 4 Levels of Structure

- 1 Basis Sets of Orbits
- 2 Branched Manifolds
- 3 Bounding Tori
- 4 Extrinsic Embeddings

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# Four Levels of Structure

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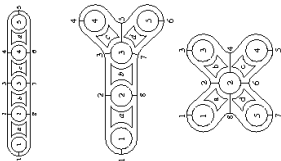
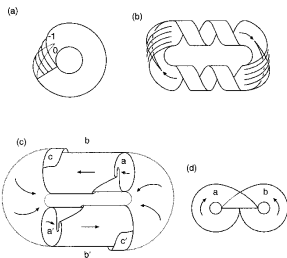
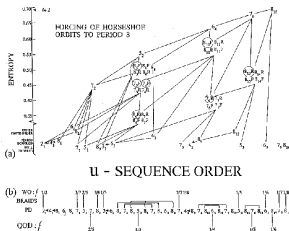
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# Poetic Organization

**LINKS OF PERIODIC ORBITS**

**organize**

**BOUNDING TORI**

**organize**

**BRANCHED MANIFOLDS**

**organize**

**LINKS OF PERIODIC ORBITS**

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## Some Unexpected Results

- Perestroikas of orbits constrained by branched manifolds
- Routes to Chaos = Paths through orbit forcing diagram
- Perestroikas of branched manifolds constrained by bounding tori
- Global Poincaré section = union of  $g - 1$  disks
- Systematic methods for cover - image relations
- Existence of topological indices (cover/image)
- Universal image dynamical systems
- NLD version of Cartan's Theorem for Lie Groups
- Topological Continuation – Group Continuation
- Cauchy-Riemann symmetries
- Quantizing Chaos
- Representation labels for inequivalent embeddings
- Representation Theory for Strange Attractors



## We hope to find:

- Robust topological invariants for  $R^N$ ,  $N > 3$
- A Birman-Williams type theorem for higher dimensions
- An algorithm for irreducible embeddings
- Embeddings: better methods and tests
- Analog of  $\chi^2$  test for NLD
- Better forcing results: Smale horseshoe,  $D^2 \rightarrow D^2$ ,  
 $n \times D^2 \rightarrow n \times D^2$  (e.g., Lorenz),  $D^N \rightarrow D^N$ ,  $N > 2$
- Representation theory: complete
- Singularity Theory: Branched manifolds, splitting points  
(0 dim.), branch lines (1 dim).
- Singularities as obstructions to isotopy