

# Alice in Stretch & SqueezeLand: 14 Representations

August 12, 2012

# Chapter Abstract

Alice in  
Stretch &  
SqueezeLand:  
14 Representations

Chapter  
Summary-01

Question-01

Question-02

Introduction-  
01

Embeddings-  
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Data can be described (embedded) in many different ways.

Each faithful (1 to 1) description is a *representation*.

When are two representations equivalent or not?

What *representation labels* are needed to distinguish among *inequivalent representations*?

Insights from Group Theory provide guidance.

## What do we do with Data?

Alice in  
Stretch &  
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14 Representations

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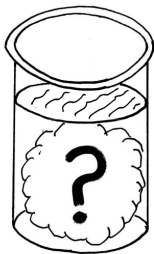
Embeddings-  
03

Embeddings-  
04

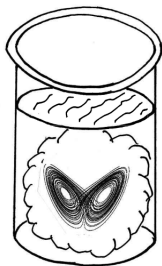
Embeddings-  
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Topic-01

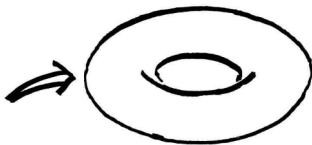
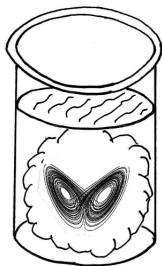
## What do we do with Data?



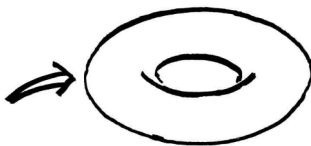
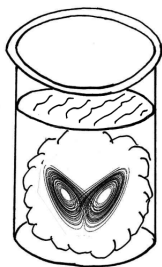
## What do we do with Data?



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## What do we do with Data?



## Outline

- 1 Embeddings
- 2 Whitney, Takens, Wu
- 3 Equivalence of Embeddings
- 4 Tori:  $g = 1$
- 5 Representation Labels
- 6 Increasing Dimension
- 7 Universal Embedding
- 8 Representation Program
- 9 Tori:  $g > 1$



## What to do with Data

Step 1: Data  $\rightarrow$  Embedding

Step 2: Analyze Reconstructed Attractor

Step 3: What do you learn about:

The Data

The Embedding

?????????

## Important Theorems

Whitney (1936):  $\mathcal{M}^n \rightarrow R^N$ :  
 $N$  generic functions -  
Embedding if  $N \geq 2n + 1$ .

Takens (1981) :  $(\mathcal{M}^n, \dot{X} = F(X)) \rightarrow (R^N, Flow)$ :  
One generic function at  $N$  measurement intervals.  
Embedding if  $N \geq 2n + 1$ .

Wu (1958): All embeddings  $\mathcal{M}^n \rightarrow R^N$  are isotopic for  
 $N \geq 2n + 1$  and  $n > 1$ .

## Embeddings and Representations

An embedding creates a diffeomorphism between an ('invisible') dynamics in someone's laboratory and a ('visible') attractor in somebody's computer.

Embeddings provide a *representation* of an attractor.

Equivalence is by Isotopy.

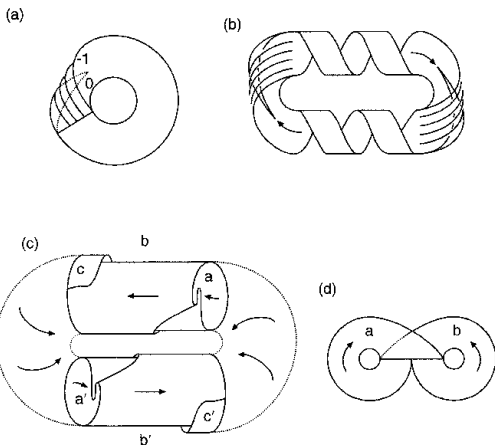
Preference is for embeddings of lowest possible dimension.

Possible Inequivalence for  $n \leq N \leq 2n$ .

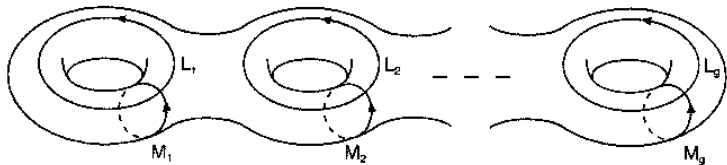
## What do you want to learn?

- Geometry (Fractals, ...): “Independent” of Embedding
- Dynamics (Lyapunovs, ...) “Independent” of Embedding, but beware of spurious LEs
- Topology: some indices depend on embedding, others (*mechanism*) do not.

## Revealed by Branched Manifolds



## Classification of 3D Attractors



Program:  $\mathcal{M}^3 \rightarrow R^3, R^4, R^5, R^6$

## Inequivalent Representations in $R^3$ : $g = 1$

Representations of 3-dimensional Genus-one attractors are distinguished by three topological labels:

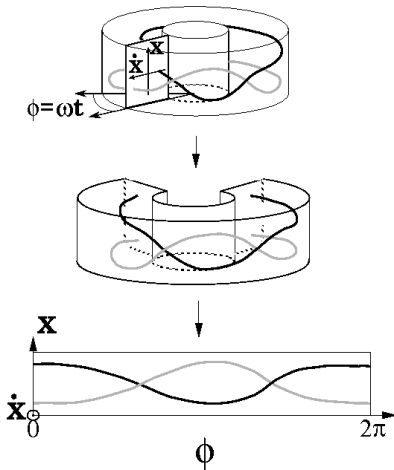
Parity	P
Global Torsion	N
Knot Type	KT

$$\Gamma^{P,N,KT}(\mathcal{SA})$$

*Mechanism* (stretch & fold, stretch & roll) is an invariant of embedding. It is independent of the representation labels.

# Another Visualization

## Cutting Open a Torus

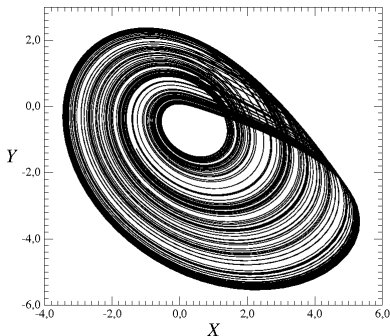




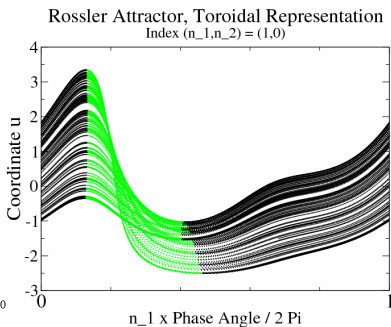
# Two Phase Spaces: $R^3$ and $D^2 \times S^1$

## Rosler Attractor: Two Representations

$R^3$

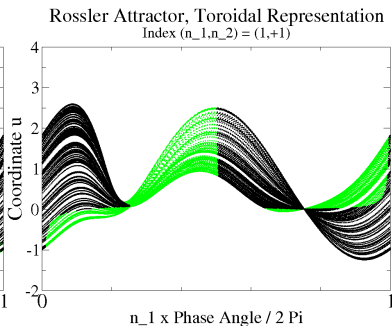
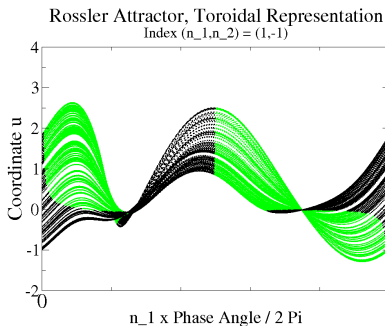


$D^2 \times S^1$



## Rossler Attractor:

### Two More Representations with $n = \pm 1$



## Knot Representations

$$\mathbf{K}(\theta) = (\xi(\theta), \eta(\theta), \zeta(\theta)) = \mathbf{K}(\theta + 2\pi)$$

Repere Mobile:  $\mathbf{t}(\theta), \mathbf{n}(\theta), \mathbf{b}(\theta)$

$$\frac{d}{ds} \begin{bmatrix} \mathbf{t} \\ \mathbf{n} \\ \mathbf{b} \end{bmatrix} = \begin{bmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & \tau \\ 0 & -\tau & 0 \end{bmatrix} \begin{bmatrix} \mathbf{t} \\ \mathbf{n} \\ \mathbf{b} \end{bmatrix}$$

$$(X(t), Y(t)) \rightarrow \mathbf{X}(t) = \mathbf{K}(\theta) + X(t)\mathbf{n}(\theta) + Y(t)\mathbf{b}(\theta)$$

$$\frac{\theta}{2\pi} = \frac{t}{T}$$

## Equivalent Representations

Topological indices (P,N,KT) are obstructions to isotopy for embeddings of minimum dimension = 3.

Are these obstructions removed by injections into higher dimensions:  $R^4, R^5, R^6$  ?

# Creating Isotopies

## Necessary Labels

	Parity	Knot Type	Global Torsion
$R^3$	Y, $\pm$	Y	Y, N
$R^4$	-	-	Y, $Z_2$
$R^5$	-	-	-

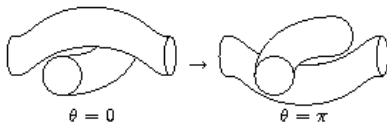
There is one *Universal* representation in  $R^N$ ,  $N \geq 5$ .  
In  $R^N$  the only topological invariant is *mechanism*.

$$R^3 \rightarrow R^4$$

## Parity Isotopy in $R^4$

$$\begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} \xrightarrow{\text{Inject}} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \\ 0 \end{pmatrix} \xrightarrow{\text{Isotopy}} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \cos \theta \\ x^3 \sin \theta \end{pmatrix} \xrightarrow[\theta=\pi]{\text{Project}} \begin{pmatrix} x^1 \\ x^2 \\ -x^3 \end{pmatrix}.$$

## Knot Type Isotopy in $R^4$

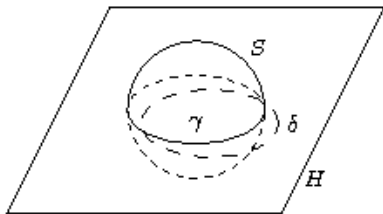


$$R^3 \rightarrow R^5$$

## Global Torsion Isotopy in $R^5$

$$\begin{bmatrix} s \\ re^{i\phi} \end{bmatrix} \mapsto \begin{bmatrix} s \\ re^{i\phi} \\ re^{i(\phi+s)} \end{bmatrix} \rightarrow \left[ \begin{array}{c|cc} 1 & & 0 \\ \hline & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{array} \right] \begin{bmatrix} s \\ re^{i\phi} \\ re^{i(\phi+s)} \end{bmatrix}$$

## Continued Inequivalence in $R^4$



# Representation Theory for Strange Attractors

## The General Program

- $\mathcal{M}^n \rightarrow R^n$
  - Identify all representation labels
  - $R^n \rightarrow R^{n+1}$ : Which labels drop away?
  - $\rightarrow n + 2, n + 3, \dots, 2n$ : Which labels drop away?
- 
- Group Theory: Complete set of Reps separate points.
  - Dynamical Systems: Complete set of Reps separate diffeomorphisms.



## Representation Theory for $g > 1$

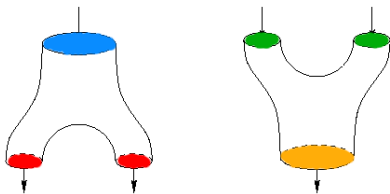
Can we extend the representation theory for strange attractors “with a hole in the middle” (i.e., genus = 1) to higher-genus attractors?

Yes. The results are similar.

Begin as follows:

# Aufbau Princip for Bounding Tori

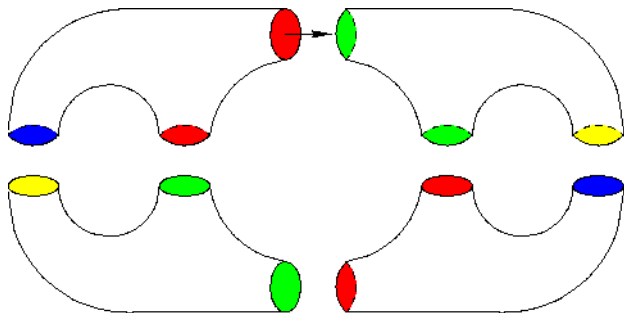
Any bounding torus can be built up from equal numbers of stretching and squeezing units



- **Outputs to Inputs**
- **No Free Ends**
- **Colorless**

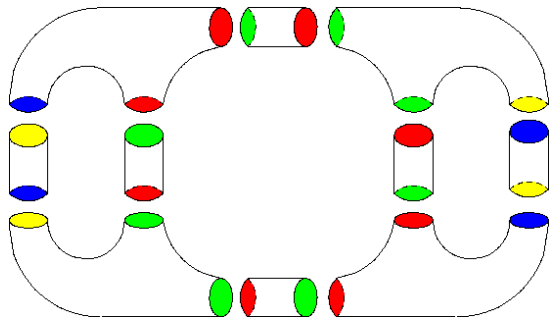
# Aufbau Princip for Bounding Tori

## Application: Lorenz Dynamics, $g=3$



$g - 1$  Pairs of "trinions"

## Insert A Flow Tube at Each Input

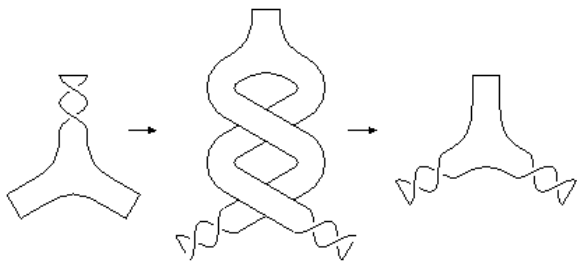


$3 \times (g - 1)$  Local Torsion integers: Isotope in  $R^5$

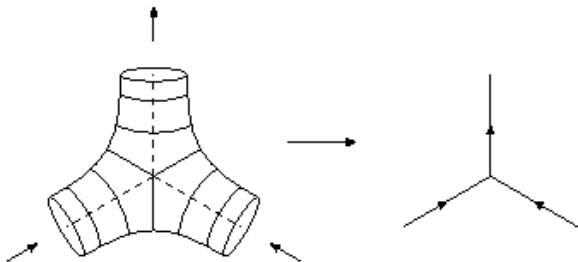
Parity: Isotope in  $R^4$

Knot Type: Isotope in  $R^4$

## Embeddings



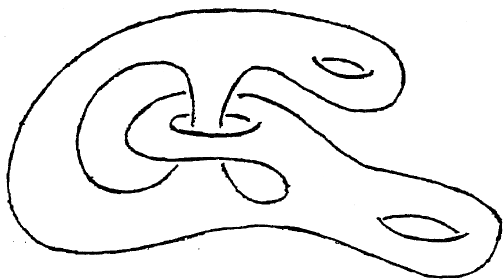
## Reduction to Networks



Equivalent to embedding a specific class of directed networks into  $R^3$

# Extrinsic Embedding of Bounding Tori

## Extrinsic Embedding of Intrinsic Tori



A specific simple example.

Partial classification by links of homotopy group generators.

Nightmare Numbers are Expected.

## Equivalences by Injection Obstructions to Isotopy

Index	$R^3$	$R^4$	$R^5$
Global Torsion	$Z^{\otimes 3(g-1)}$	$Z_2^{\otimes 2(g-1)}$	-
Parity	$Z_2$	-	-
Knot Type	Gen. KT.	-	-

In  $R^5$  all representations (embeddings) of a genus- $g$  strange attractor become equivalent under isotopy.



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# Representations

XX

## Representations

An embedding creates a diffeomorphism between an ('invisible') dynamics in someone's laboratory and a ('visible') attractor in somebody's computer.

Embeddings provide a *representation* of an attractor.

Equivalence is by Isotopy.

Irreducible is by Dimension

## Representations

We know about representations from studies of groups and algebras.

We use this knowledge as a guiding light.

## Inequivalent Irreducible Representations

Irreducible Representations of 3-dimensional Genus-one attractors are distinguished by three topological labels:

Parity	P
Global Torsion	N
Knot Type	KT

$$\Gamma^{P,N,KT}(\mathcal{SA})$$

*Mechanism* (stretch & fold, stretch & roll) is an invariant of embedding. It is independent of the representation labels.

## Global Torsion & Parity



(a)



$n=2$

(b)

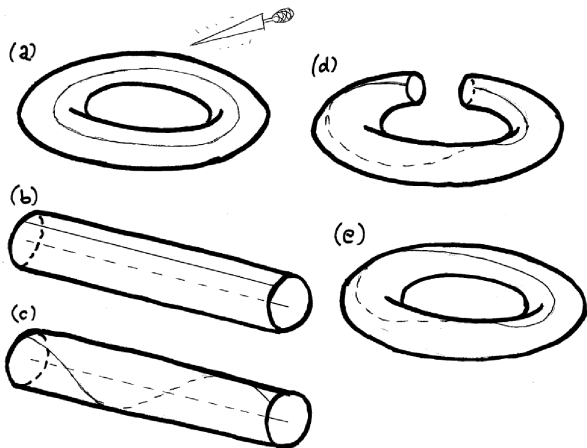


Parity=-1

(c)

# Inequivalence in $R^3$

## Inequivalence in $R^3$



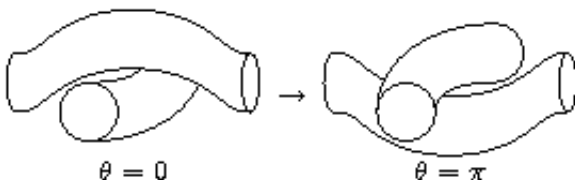
## Equivalent Reducible Representations

Topological indices (P,N,KT) are obstructions to isotopy for embeddings of minimum dimension (irreducible representations).

Are these obstructions removed by injections into higher dimensions (reducible representations)?

Systematically?

## Crossing Exchange in $R^4$



Parity reversal is also possible in  $R^4$  by isotopy.



## 2 Twists = 1 Writhe = Identity



$$Z \longrightarrow Z_2$$

Global Torsion  $\longrightarrow$  Binary Op

## Equivalences by Injection Obstructions to Isotopy

$$R^3 \quad \rightarrow \quad R^4 \quad \rightarrow \quad R^5$$

Global Torsion

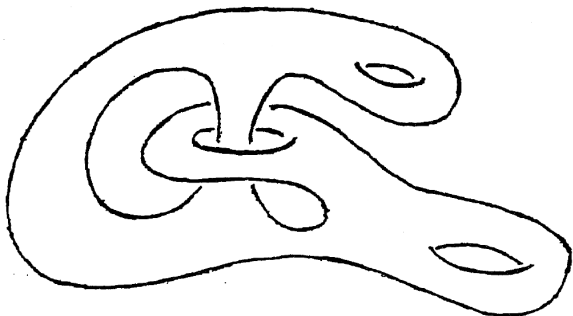
Global Torsion

Parity

Knot Type

There is one *Universal* reducible representation in  $R^N$ ,  $N \geq 5$ .  
In  $R^N$  the only topological invariant is *mechanism*.

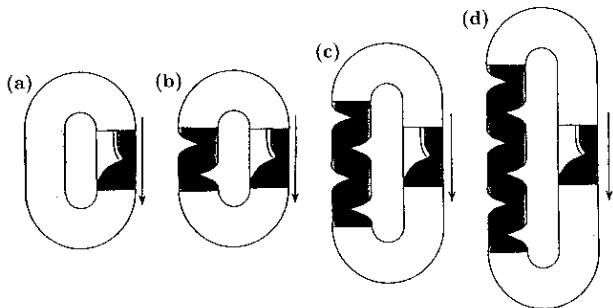
**Merci Bien pour votre attention.**



# Determine Topological Invariants

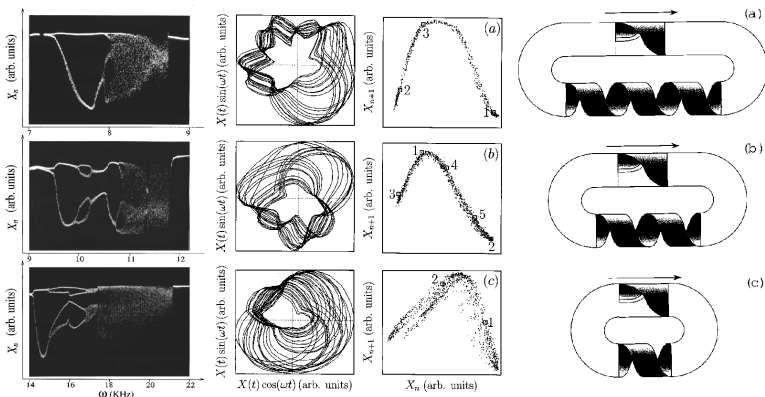
## What Do We Learn?

- $BM$  Depends on Embedding
- Some things depend on embedding, some don't
- Depends on Embedding: Global Torsion, Parity, ..
- Independent of Embedding: Mechanism



# Perestroikas of Strange Attractors

## Evolution Under Parameter Change



Lefranc - Cargese