

Chaos: What Have We Learned?

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Abstract

Analysis of experimental data from many physical systems (lasers, chemical reactions, electrical circuits, vibrating strings) has led to a deeper understanding of low-dimensional strange attractors and their perestroikas. They can now be classified. The classification is topological, with four levels of structure. Each is discrete. The signatures that identify these levels can be and have been extracted from experimental data. These advances have raised additional questions that require new mathematics for their resolution.

Outline

- 1 Overview
- 2 Experimental Challenge
- 3 Topology of Orbits
- 4 Topological Analysis Program
- 5 Basis Sets of Orbits
- 6 Bounding Tori
- 7 Covers and Images
- 8 Quantizing Chaos
- 9 Representation Theory of Strange Attractors
- 10 Summary

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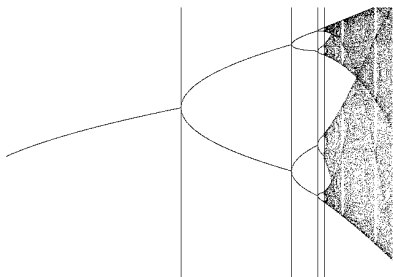
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J. R. Tredicce

Can you explain my data?

I dare you to explain my data!

Where is Tredicce coming from?

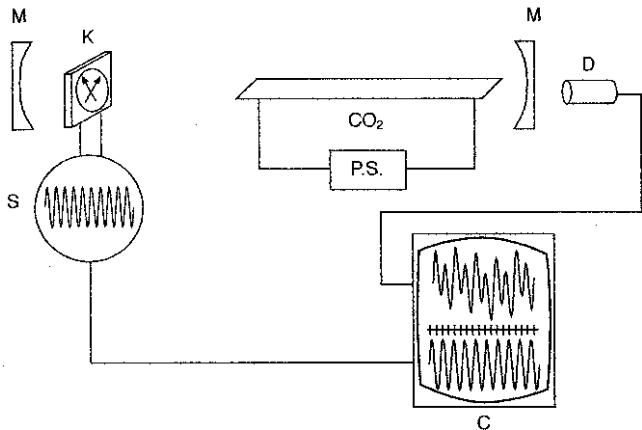


Feigenbaum:

$$\alpha = 4.66920 16091 \dots$$

$$\delta = -2.50290 78750 \dots$$

Laser with Modulated Losses Experimental Arrangement



Original Objectives

Construct a simple, algorithmic procedure for:

- Classifying strange attractors
- Extracting classification information

from experimental signals.

Result

There is now a classification theory.

- 1 It is topological
- 2 It has a hierarchy of 4 levels
- 3 Each is discrete
- 4 There is rigidity and degrees of freedom
- 5 It is applicable to R^3 only — for now

The 4 Levels of Structure

- **Basis Sets of Orbits**
- **Branched Manifolds**
- **Bounding Tori**
- **Extrinsic Embeddings**

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What Have We Learned?

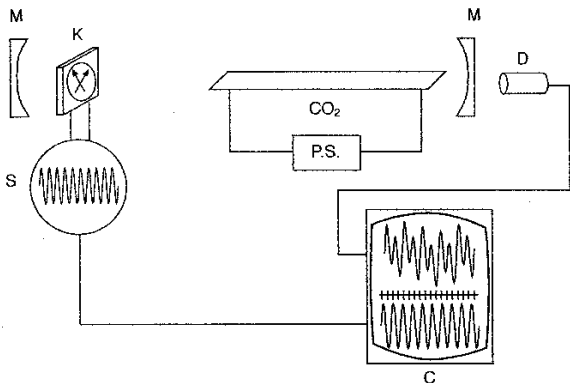
- 1 Cover and Image Relations
- 2 Continuations: Analytical, Topological, Group
- 3 Cauchy Riemann & Clebsch-Gordonnery for Dynamical Systems
- 4 "Quantizing Chaos"
- 5 Representation Theory for Dynamical Systems

What Do We Need to Learn?

- 1 Higher Dimensions
- 2 Invariants
- 3 Mechanisms

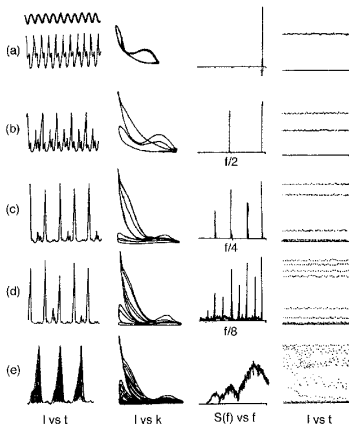
Experimental Schematic

Laser Experimental Arrangement



Experimental Motivation

Oscilloscope Traces



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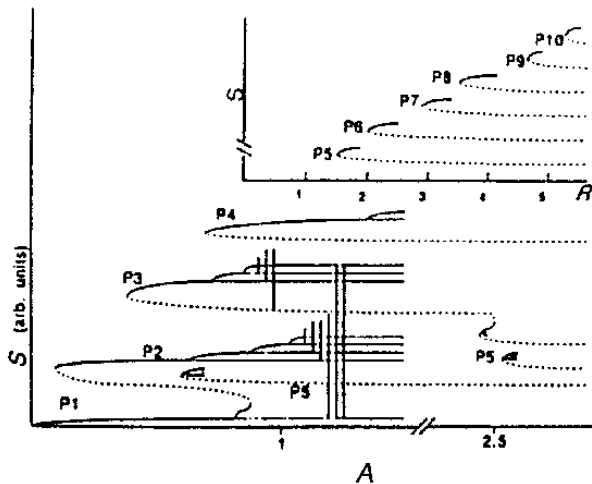
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Results, Single Experiment

Bifurcation Schematics



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Some Attractors

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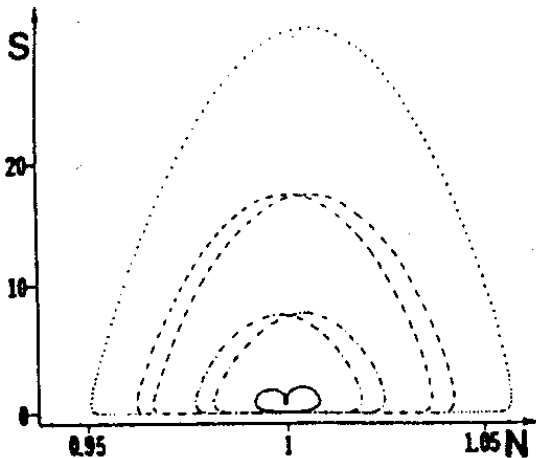
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Coexisting Basins of Attraction



Many Experiments

Bifurcation Perestroikas

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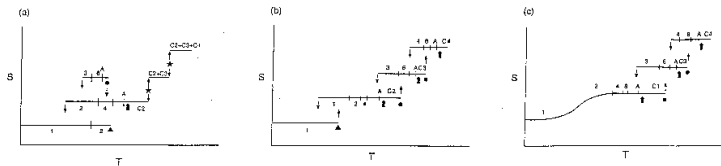
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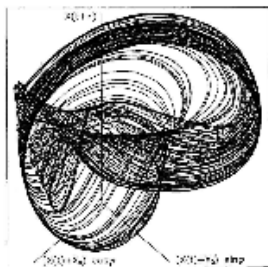
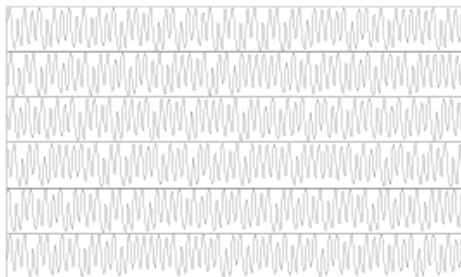
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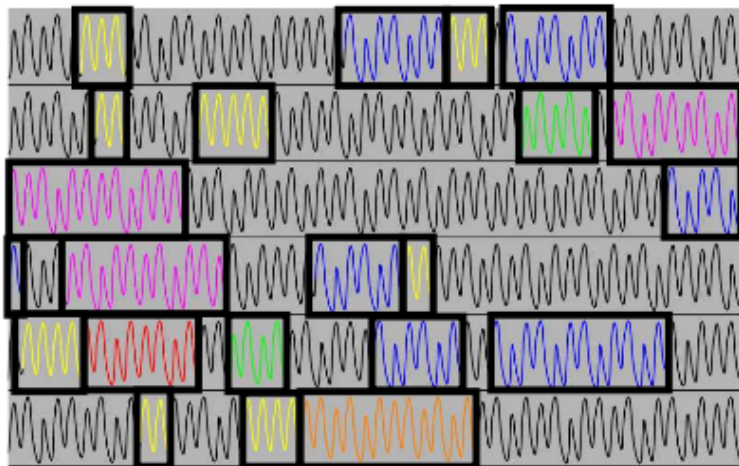


Experimental Data: LSA



Lefranc - Cargese

Experimental Data: LSA



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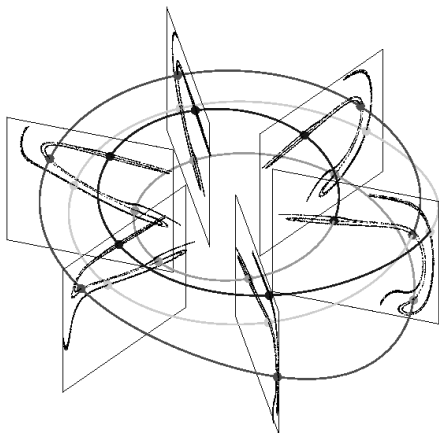
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Stretching & Squeezing in a Torus



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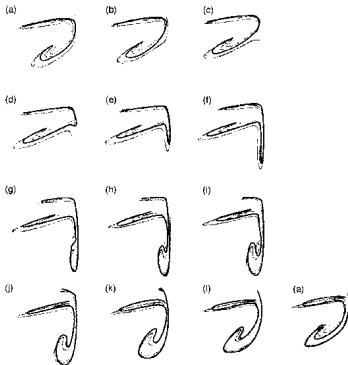
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Rotating the Poincaré Section around the axis of the torus



Rotating the Poincaré Section around the axis of the torus

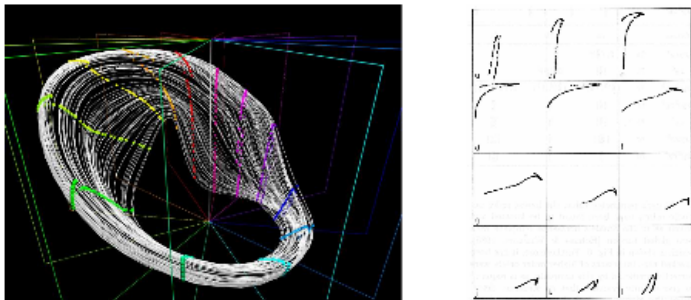


Figure 2. Left: Intersections of a chaotic attractor with a series of section planes are computed. Right: Their evolution from plane to plane shows the interplay of the stretching and squeezing mechanisms.

Chaos

Motion that is

- **Deterministic:** $\frac{dx}{dt} = f(x)$
- **Recurrent**
- **Non Periodic**
- **Sensitive to Initial Conditions**

Strange Attractor

The Ω limit set of the flow. There are unstable periodic orbits “in” the strange attractor. They are

- “Abundant”
- Outline the Strange Attractor
- Are the Skeleton of the Strange Attractor

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UPOs: Skeletons of Strange Attractors

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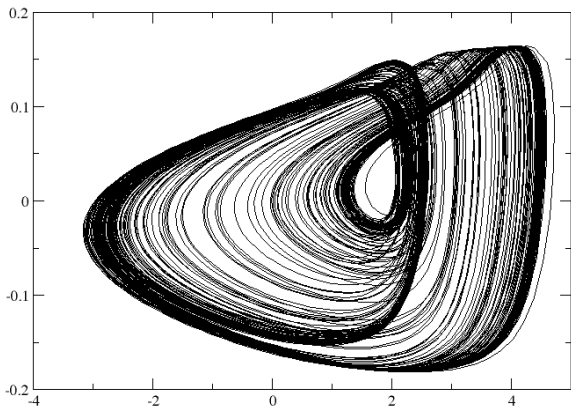
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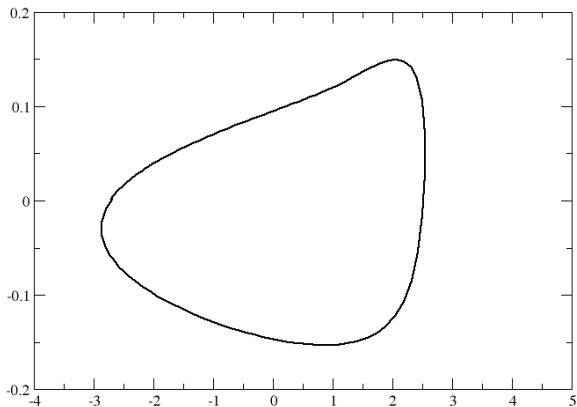
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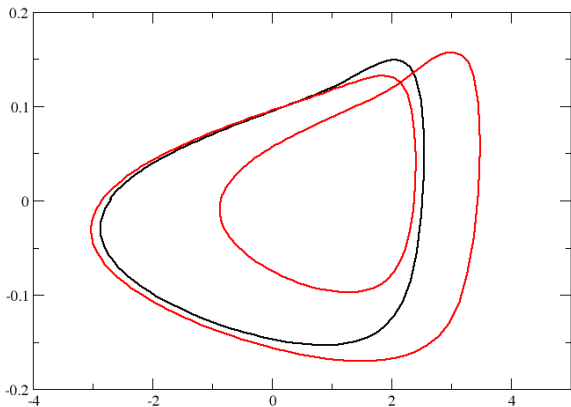
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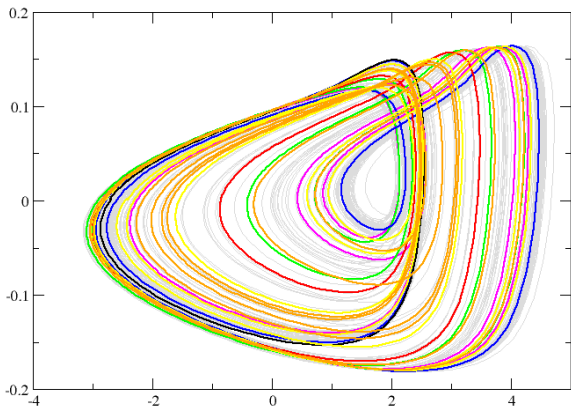
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UPOs Outline Strange Attractors

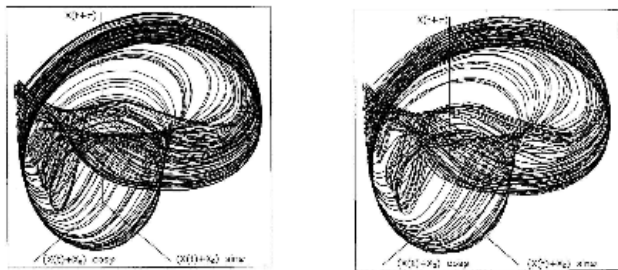


Figure 5. Left: a chaotic attractor reconstructed from a time series from a chaotic laser ; Right : Superposition of 12 periodic orbits of periods from 1 to 10.

Organization of UPOs in R^3 : Gauss Linking Number

$$LN(A, B) = \frac{1}{4\pi} \oint \oint \frac{(\mathbf{r}_A - \mathbf{r}_B) \cdot d\mathbf{r}_A \times d\mathbf{r}_B}{|\mathbf{r}_A - \mathbf{r}_B|^3}$$

Interpretations of $LN \simeq$ # Mathematicians in World

Linking Number of Two UPOs

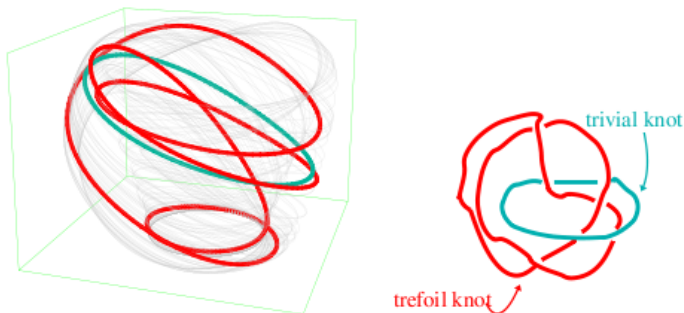
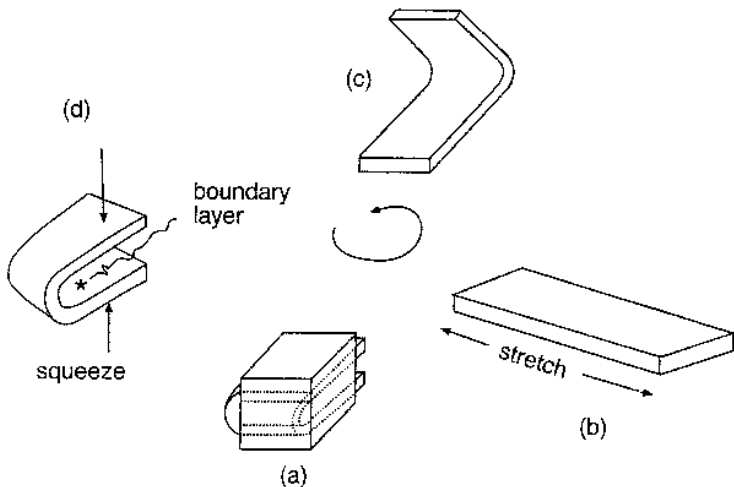


Figure 6. Left: two periodic orbits of periods 1 and 4 embedded in a strange attractor; Right: a link of two knots that is equivalent to the pair of periodic orbits up to continuous deformations without crossings.

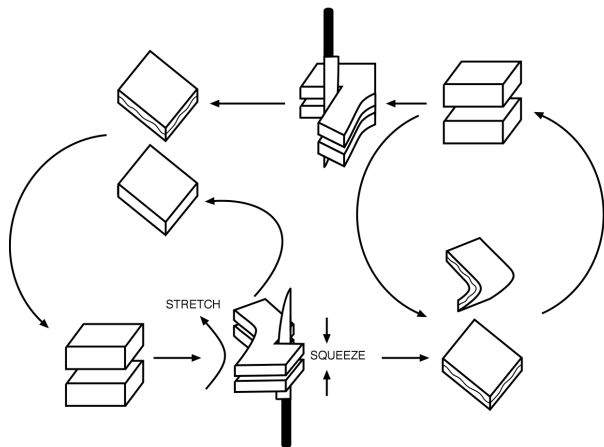
Mechanisms for Generating Chaos

Stretching and Folding



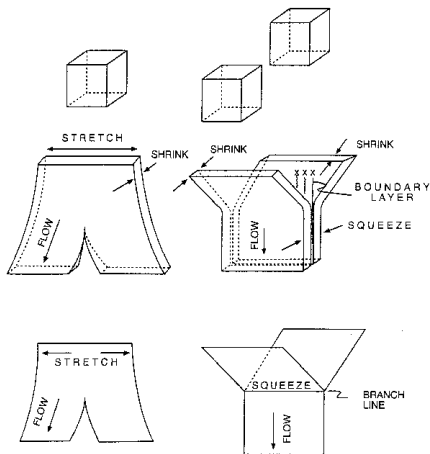
Mechanisms for Generating Chaos

Tearing and Squeezing



Motion of Blobs in Phase Space

Stretching — Squeezing

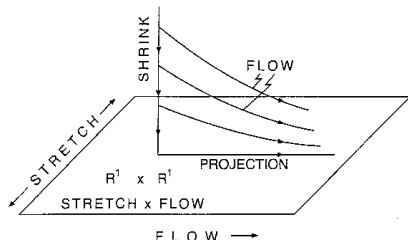


Collapse Along the Stable Manifold

Birman - Williams Projection

Identify x and y if

$$\lim_{t \rightarrow \infty} |x(t) - y(t)| \rightarrow 0$$



Birman - Williams Theorem

If:

Then:

Birman - Williams Theorem

If: **Certain Assumptions**

Then:

Birman - Williams Theorem

If: **Certain Assumptions**

Then: **Specific Conclusions**

Assumptions, B-W Theorem

A flow $\Phi_t(x)$

- on R^n is dissipative, $n = 3$, so that $\lambda_1 > 0, \lambda_2 = 0, \lambda_3 < 0$.
- Generates a hyperbolic strange attractor SA

IMPORTANT: The underlined assumptions can be relaxed.

Conclusions, B-W Theorem

- The projection maps the strange attractor \mathcal{SA} onto a 2-dimensional branched manifold \mathcal{BM} and the flow $\Phi_t(x)$ on \mathcal{SA} to a semiflow $\bar{\Phi}(x)_t$ on \mathcal{BM} .
- UPOs of $\Phi_t(x)$ on \mathcal{SA} are in 1-1 correspondence with UPOs of $\bar{\Phi}(x)_t$ on \mathcal{BM} . Moreover, every link of UPOs of $(\Phi_t(x), \mathcal{SA})$ is isotopic to the correspond link of UPOs of $(\bar{\Phi}(x)_t, \mathcal{BM})$.

Remark: “One of the few theorems useful to experimentalists.”

A Very Common Mechanism

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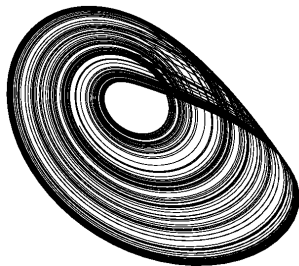
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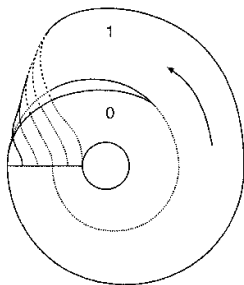
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Rössler:

Attractor



Branched Manifold



A Mechanism with Symmetry

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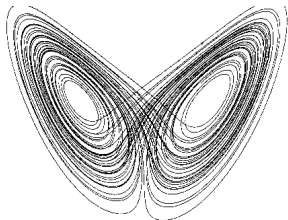
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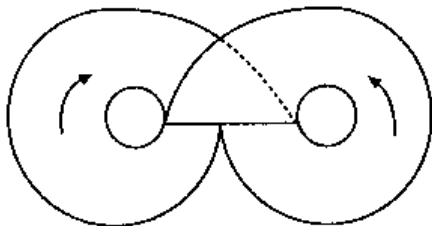
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Lorenz:

Attractor

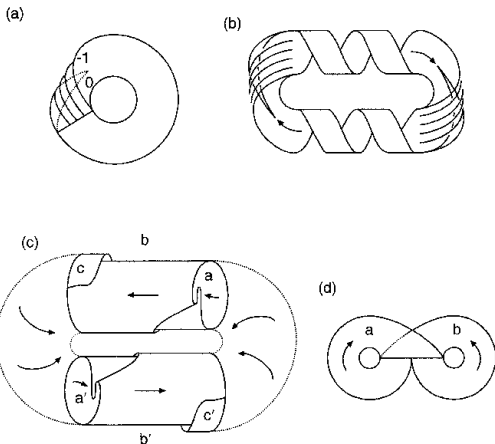


Branched Manifold



Examples of Branched Manifolds

Inequivalent Branched Manifolds



Aufbau Princip for Branched Manifolds

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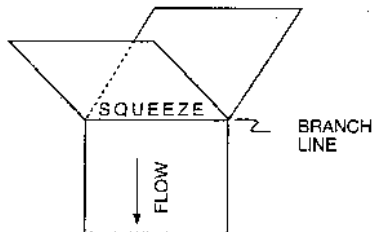
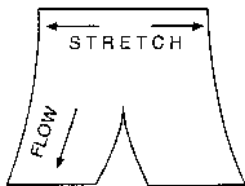
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Any branched manifold can be built up from stretching and squeezing units



subject to the conditions:

- Outputs to Inputs
- No Free Ends

Rössler System

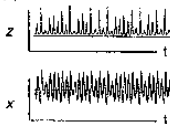
(a) Rössler Equations

$$\frac{dx}{dt} = -y - z$$

$$\frac{dy}{dt} = x + ay$$

$$\frac{dz}{dt} = b + z(x - c)$$

(b)



(c)



(d)

$$\begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & +1 \end{pmatrix}$$

(e)



(f)



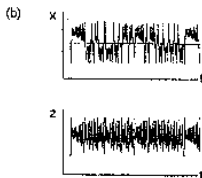
Lorenz System

(a) Lorenz Equations

$$\frac{dx}{dt} = -\sigma x + \sigma y$$

$$\frac{dy}{dt} = \rho x - y - xz$$

$$\frac{dz}{dt} = -bz + xy$$

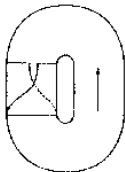


(f)

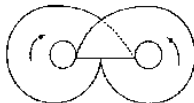
$$\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} +i & -i \end{bmatrix}$$

(e)



(d)



Poincaré Smiles at Us in R^3

- **Determine organization of UPOs** \Rightarrow
- **Determine branched manifold** \Rightarrow
- **Determine equivalence class of \mathcal{SA}**

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We Like to be Organized

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PERIODIC TABLE OF THE ELEMENTS

<http://www.kf-split.hr/periodic/en/>

PERIOD	GROUP																18	
	1 IA												16 VIA		17 VIIA		18 VIIIA	
1	H																He	
2	Li	Be											B	C	N	O	F	Ne
3	Na	Mg											Al	Si	P	S	Cl	Ar
4	K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
5	Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
6	Cs	Ba	La-Lu Lanthanide	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
7	Fr	Ra	Ac-Lr Actinide	Rf	Db	Sg	Bh	Hs	Mt	Uun	Uun	Uun	Uun	Uun	Uuq			

RELATIVE ATOMIC MASS(1)

GROUP IUPAC: 1-10, 11-18

GROUP CAS: I-VIII

ATOMIC NUMBER: 1-118

SYMBOL: B

ELEMENT NAME: Boron

Legend:

- Metal (Blue)
- Semimetal (Red)
- Nonmetal (Green)
- Alkali metal (Light Blue)
- Alkaline earth metal (Light Green)
- Transition metals (Dark Blue)
- Lanthanide (Light Purple)
- Actinide (Light Pink)
- Chalcogens element (Light Green)
- Halogens element (Light Yellow)
- Noble gas (Light Blue)

STANDARD STATE (100 °C; 101 kPa)

- Ne - gm
- Fe - solid
- Ga - liquid
- Ts - synthetic

(1) Pure Appl. Chem., 73, No. 4, 987-993 (2001)

Relative atomic mass is shown with five significant figures. For elements with no stable nuclides, the value enclosed in brackets indicates the mass number of the longest-lived isotope of the element.

However three such elements (Tl, Po, and U) do have a characteristic terrestrial isotopic composition, and for these an atomic weight is tabulated.

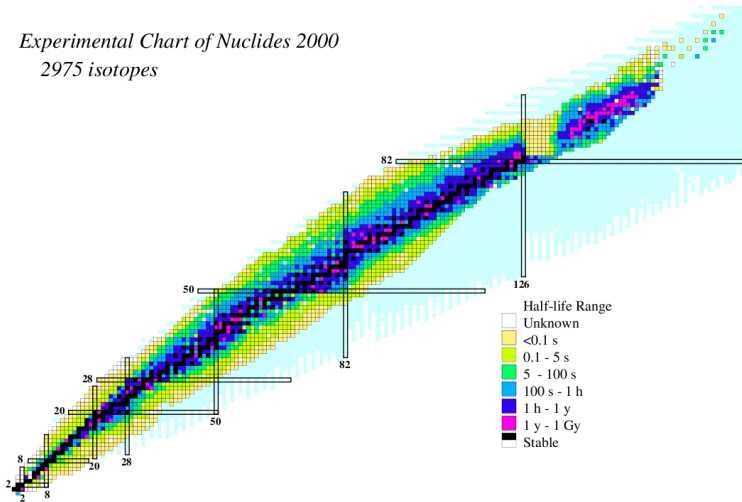
Editor: Aditya Vardhan (adva@rediffmail.com)

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Experimental Chart of Nuclides 2000
2975 isotopes



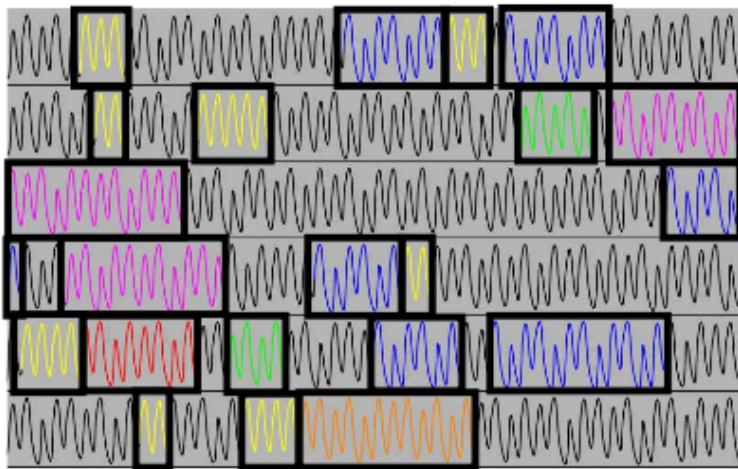
Topological Analysis Program

- Locate Periodic Orbits
- Create an Embedding
- Determine Topological Invariants (LN)
- Identify a Branched Manifold
- Verify the Branched Manifold

Additional Steps

- Model the Dynamics
- Validate the Model

Method of Close Returns



Embeddings

Many Methods: Time Delay, Differential, Hilbert Transforms, SVD, Mixtures, ...

Tests for Embeddings: Geometric, Dynamic, Topological[†]

None Good

We Demand a 3 Dimensional Embedding

An Embedding and Periodic Orbits

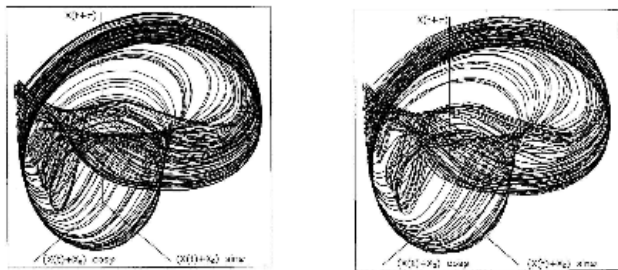


Figure 5. Left: a chaotic attractor reconstructed from a time series from a chaotic laser ; Right : Superposition of 12 periodic orbits of periods from 1 to 10.

Determine Topological Invariants

Linking Number of Orbit Pairs

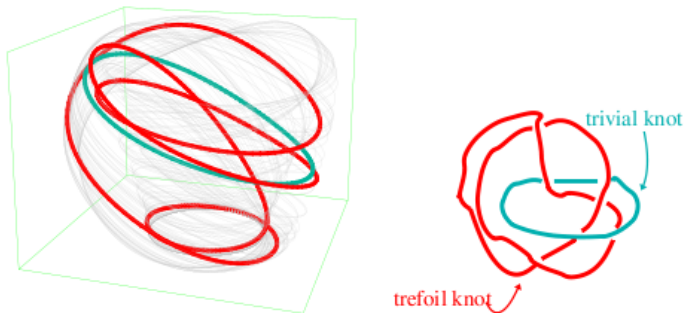


Figure 6. Left: two periodic orbits of periods 1 and 4 embedded in a strange attractor; Right: a link of two knots that is equivalent to the pair of periodic orbits up to continuous deformations without crossings.

Lefranc - Cargese

Determine Topological Invariants

Compute Table of Experimental LN

Table 7.2 Linking numbers for all the surrogate periodic orbits, to period 8, extracted from Belousov–Zhabotinskii data^a

Orbit	Symbolics	1	2	3	4	5	6	7	8a	8b
1	1	0	1	1	2	2	2	3	4	3
2	01	1	1	2	3	4	4	5	6	6
3	011	1	2	2	4	5	6	7	8	8
4	0111	2	3	4	5	8	8	11	13	12
5	01 011	2	4	5	8	8	10	13	16	15
6	011 0M1	2	4	6	8	10	9	14	16	16
7	01 01 011	3	5	7	11	13	14	16	21	21
8a	01 01 0111	4	6	8	13	16	16	21	23	24
8b	01 011 011	3	6	8	12	15	16	21	24	21

^aAll indices are negative.

Determine Topological Invariants

Compare w. LN From Various BM

Table 2.1 Linking numbers for orbits to period five in Smale horseshoe dynamics.

	$1a$	$1f$	2_1	$3f$	$3a$	4_1	4_2f	4_2a	5_2f	5_2a	5_2f	5_2a	5_1f	5_1a
	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	1	1	1	2	1	1	1	1	2	2	2
	01	0	1	1	2	2	3	2	2	2	2	3	3	4
	001	0	1	2	2	3	4	3	3	3	3	4	4	5
	011	0	1	2	3	2	4	3	3	3	3	5	5	5
	0111	0	2	3	4	4	5	4	4	4	4	7	7	8
	0001	0	1	2	3	3	4	3	4	4	4	5	5	5
	0011	0	1	2	3	3	4	4	3	4	4	5	5	5
	00001	0	1	2	3	3	4	4	4	4	5	5	5	5
	00011	0	1	2	3	3	4	4	5	4	5	5	5	5
	00111	0	2	3	4	5	7	5	5	5	6	7	8	9
	00101	0	2	3	4	5	7	5	5	5	7	6	8	9
	01101	0	2	4	5	5	8	5	5	5	8	8	8	10
	01111	0	2	4	5	5	8	5	5	5	9	9	10	8

Determine Topological Invariants

Guess Branched Manifold

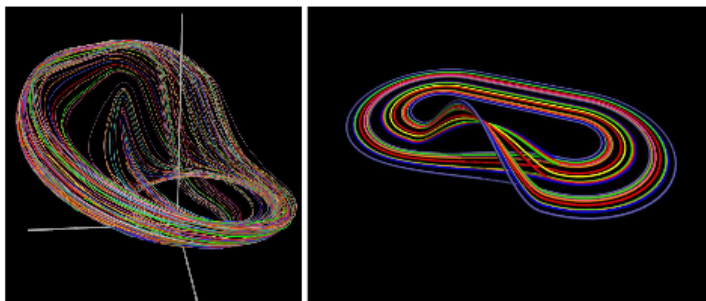


Figure 7. “Combing” the intertwined periodic orbits (left) reveals their systematic organization (right) created by the stretching and squeezing mechanisms.

Lefranc - Cargese

Determine Topological Invariants

Identification & ‘Confirmation’

- \mathcal{BM} Identified by LN of small number of orbits
- Table of LN GROSSLY overdetermined
- Predict LN of additional orbits
- Rejection criterion

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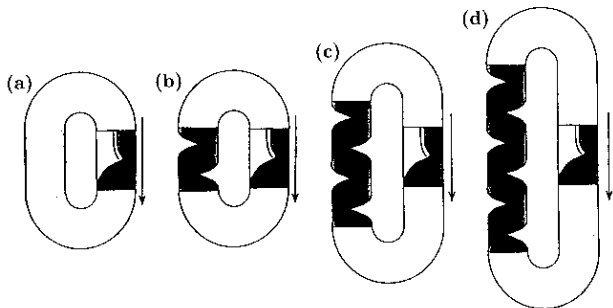
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Determine Topological Invariants

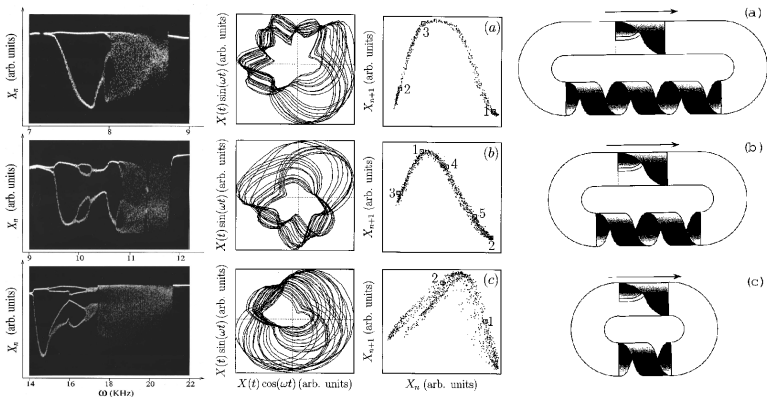
What Do We Learn?

- \mathcal{BM} Depends on Embedding
- Some things depend on embedding, some don't
- Depends on Embedding: Global Torsion, Parity, ..
- Independent of Embedding: Mechanism



Perestroikas of Strange Attractors

Evolution Under Parameter Change



Perestroikas of Strange Attractors

Evolution Under Parameter Change

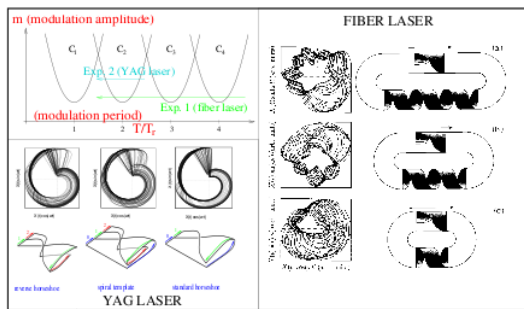


Figure 11. Various templates observed in two laser experiments. Top left: schematic representation of the parameter space of forced nonlinear oscillators showing resonance tongues. Right: templates observed in the fiber laser experiment: global torsion increases systematically from one tongue to the next [40]. Bottom left: templates observed in the YAG laser experiment (only the branches are shown): there is a variation in the topological organization across one chaotic tongue [39, 41].

An Unexpected Benefit

Analysis of Nonstationary Data

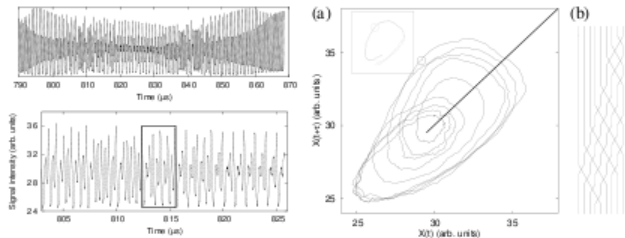


Figure 16. Top left: time series from an optical parametric oscillator showing a burst of irregular behavior. Bottom left: segment of the time series containing a periodic orbit of period 9. Right: embedding of the periodic orbit in a reconstructed phase space and representation of the braid realized by the orbit. The braid entropy is $h_T = 0.377$, showing that the underlying dynamics is chaotic. Reprinted from [61].

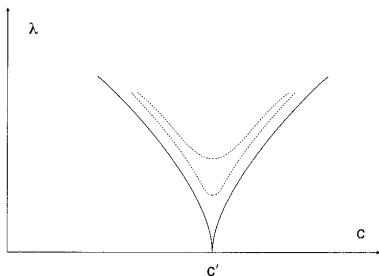
Lefranc - Cargese

Model the Dynamics

A hodgepodge of methods exist: # Methods \simeq # Physicists

Validate the Model

Needed: Nonlinear analog of χ^2 test. OPPORTUNITY:
Tests that depend on entrainment/synchronization.



Compare with Original Objectives

Construct a simple, algorithmic procedure for:

- Classifying strange attractors
- Extracting classification information

from experimental signals.

Orbits Can be “Pruned”

There Are Some Missing Orbits

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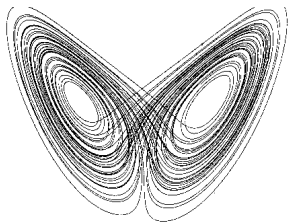
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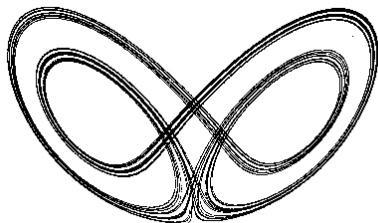
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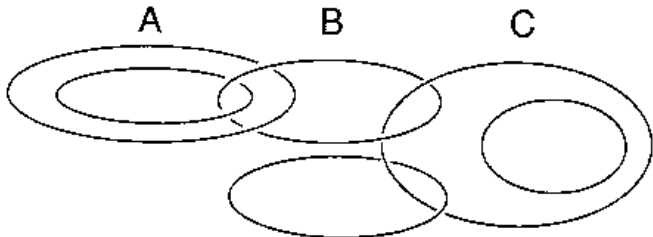


Lorenz



Shimizu-Morioka

Orbit Forcing



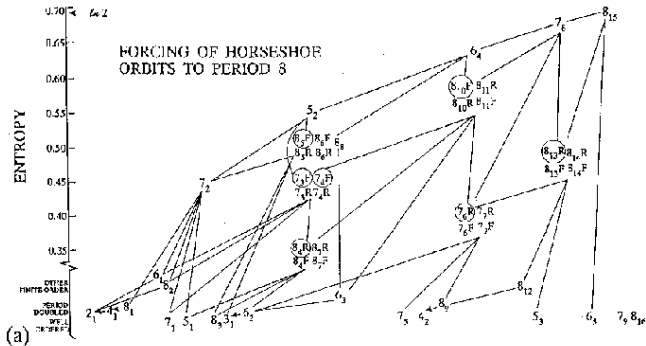
$A \Rightarrow B$

$B \Rightarrow C$

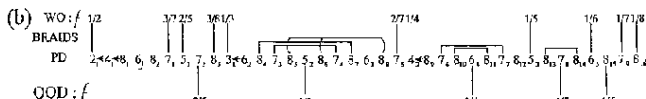
$A \Rightarrow C$

An Ongoing Problem

Forcing Diagram - Horseshoe



U - SEQUENCE ORDER



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- Robert Gilmore
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Status of Problem

- Horseshoe organization - active
- More folding - barely begun
- Circle forcing - even less known
- Higher genus - new ideas required

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Constraints on Branched Manifolds

“Inflate” a strange attractor

Union of ϵ ball around each point

Boundary is surface of bounded 3D manifold

Torus that bounds strange attractor

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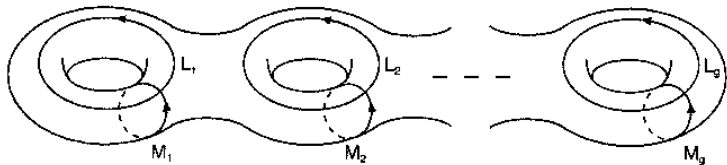
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Torus, Longitudes, Meridians



Surface Singularities

Flow field: three eigenvalues: +, 0, -

Vector field “perpendicular” to surface

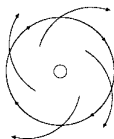
Eigenvalues on surface at fixed point: +, -

All singularities are regular saddles

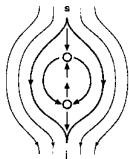
$$\sum_{s.p.} (-1)^{\text{index}} = \chi(S) = 2 - 2g$$

fixed points on surface = index = $2g - 2$

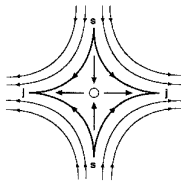
Flow Near a Singularity



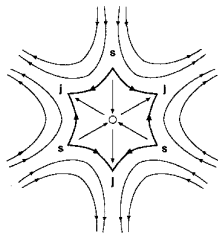
(a)



(b)

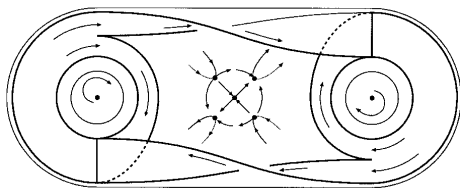


(c)



(d)

Torus Bounding Lorenz-like Flows



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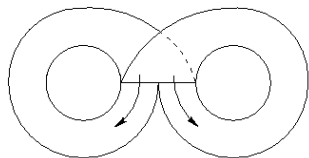
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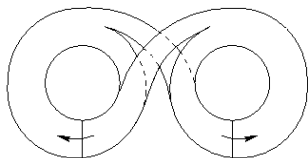
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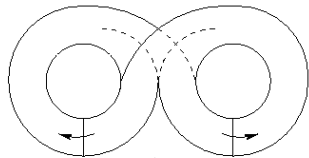
Twisting the Lorenz Attractor



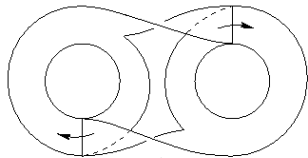
(a)



(c)



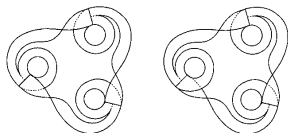
(b)



(d)

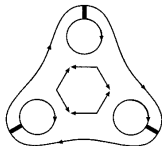
Constraints Provided by Bounding Tori

**Two possible branched manifolds
in the torus with $g=4$.**



(a)

(b)



(c)

Bounding Tori contain all known Strange Attractors

Tab.1. All known strange attractors of dimension $d_L < 3$ are bounded by one of the standard dressed tori.

Strange Attractor	Dressed Torus	Period $g - 1$ Orbit
Rössler, Duffing, Burke and Shaw	A_1	1
Various Lasers, Gateau Roule	A_1	1
Neuron with Subthreshold Oscillations	A_1	1
Shaw-van der Pol	$A_1 \cup A_1^{(1)}$	$1 \cup 1$
Lorenz, Shimizu-Morioka, Rikitake	A_2	$(12)^2$
Multispiral attractors	A_n	$(12^{n-1})^2$
C_n Covers of Rössler	C_n	1^n
C_2 Cover of Lorenz ^(a)	C_4	1^4
C_2 Cover of Lorenz ^(b)	A_8	$(122)^2$
C_n Cover of Lorenz ^(a)	C_{2n}	1^{2n}
C_n Cover of Lorenz ^(b)	P_{n+1}	$(1n)^n$
$2 \rightarrow 1$ Image of Fig. 8 Branched Manifold	A_8	$(122)^2$
Fig. 8 Branched Manifold	P_8	$(14)^4$
^(a) Rotation axis through origin.		
^(b) Rotation axis through one focus.		

Labeling Bounding Tori

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Poincaré section is disjoint union of $g-1$ disks

Transition matrix sum of two $g-1 \times g-1$ matrices

One is cyclic $g-1 \times g-1$ matrix

Other represents union of cycles

Labeling via (permutation) group theory

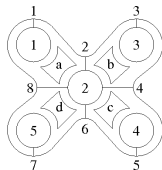
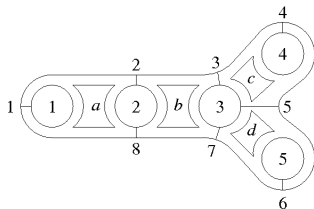
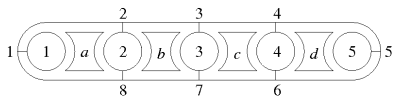
Bounding Tori of Low Genus

TABLE I: Enumeration of canonical forms up to genus 9

g	m	(p_1, p_2, \dots, p_m)	$n_1 n_2 \dots n_{g-1}$
1	1	(0)	1
3	2	(2)	11
4	3	(3)	111
5	4	(4)	1111
5	3	(2,2)	1212
6	5	(5)	11111
6	4	(3,2)	12112
7	6	(6)	111111
7	5	(4,2)	112121
7	5	(3,3)	112112
7	4	(2,2,2)	122122
7	4	(2,2,2)	131313
8	7	(7)	1111111
8	6	(5,2)	1211112
8	6	(4,3)	1211121
8	5	(3,2,2)	1212212
8	5	(3,2,2)	1221221
8	5	(3,2,2)	1313131
9	8	(8)	11111111
9	7	(6,2)	11111212
9	7	(5,3)	11112112
9	7	(4,4)	11121112
9	6	(4,2,2)	111221222
9	6	(4,2,2)	11131313
9	6	(4,2,2)	11212212
9	6	(4,2,2)	12121212
9	6	(3,3,2)	11212122
9	6	(3,3,2)	11221122
9	6	(3,3,2)	11221212
9	6	(3,3,2)	11311313
9	5	(2,2,2,2)	12221222
9	5	(2,2,2,2)	12313132
9	5	(2,2,2,2)	14141414

Motivation

Some Genus-9 Bounding Tori



Aufbau Princip for Bounding Tori

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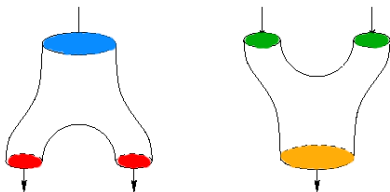
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Any bounding torus can be built up from equal numbers of stretching and squeezing units

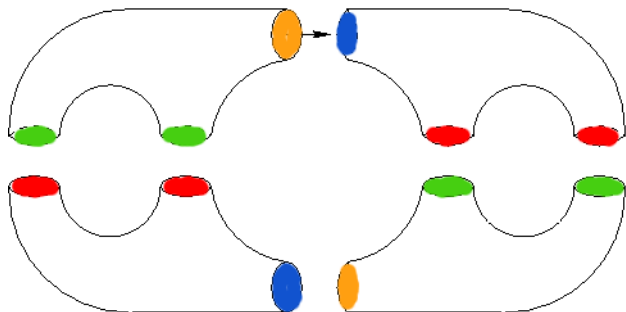


Rules

- Outputs to Inputs
- Colorless
- No Free Ends

Aufbau Princip for Bounding Tori

Application: Lorenz Dynamics, $g=3$



Chaos: What
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Construction of Poincaré Section

P. S. = Union 

Components = $g-1$

Chaos: What
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The Growth is Exponential

TABLE I: Number of canonical bounding tori as a function of genus, g .

g	$N(g)$	g	$N(g)$	g	$N(g)$
3	1	9	15	15	2211
4	1	10	28	16	5549
5	2	11	67	17	14290
6	2	12	145	18	36824
7	5	13	368	19	96347
8	6	14	870	20	252927

Chaos: What
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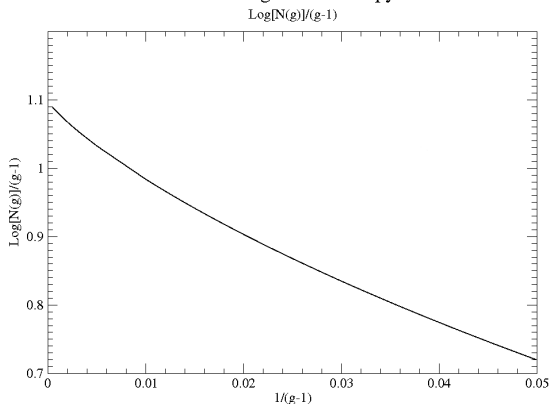
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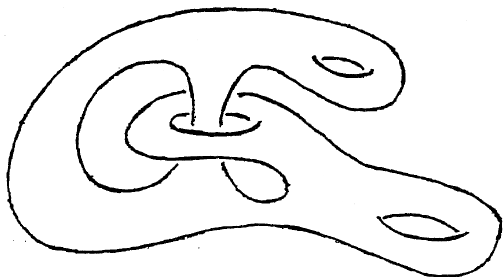
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The Growth is Exponential The Entropy is $\log 3$

Bounding Torus Entropy



Extrinsic Embedding of Intrinsic Tori

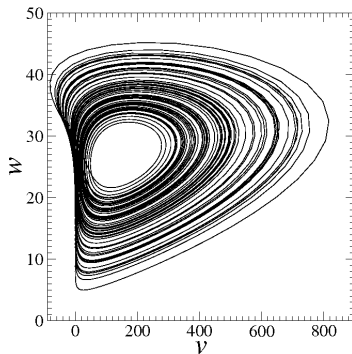
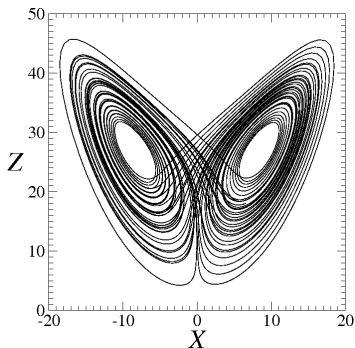


Partial classification by links of homotopy group generators.
Nightmare Numbers are Expected.

Modding Out a Rotation Symmetry

Modding Out a Rotation Symmetry

$$\begin{pmatrix} X \\ Y \\ Z \end{pmatrix} \rightarrow \begin{pmatrix} u \\ v \\ w \end{pmatrix} = \begin{pmatrix} \operatorname{Re} (X + iY)^2 \\ \operatorname{Im} (X + iY)^2 \\ Z \end{pmatrix}$$



Lorenz Attractor and Its Image

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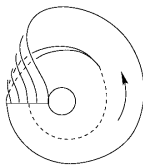
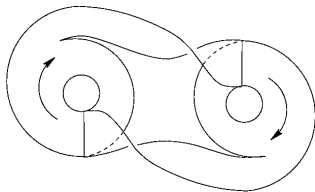
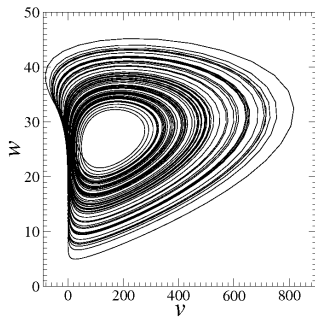
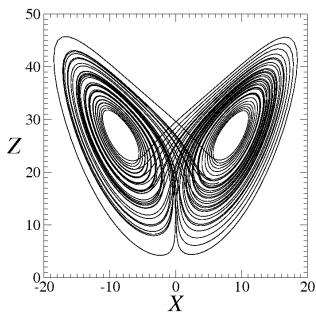
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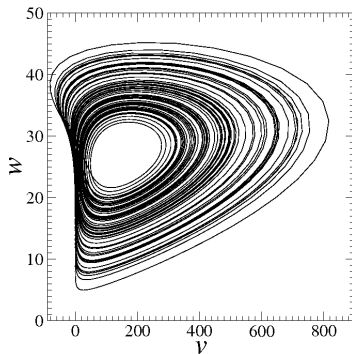
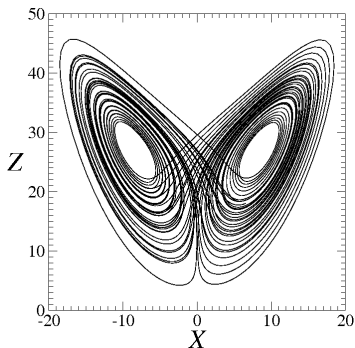
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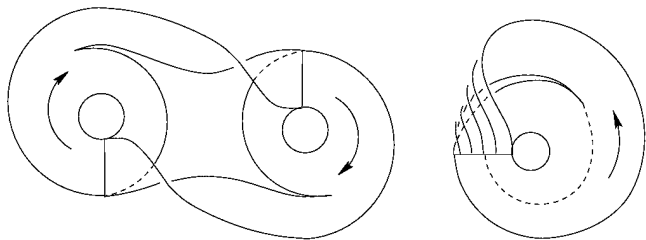
Lifting an Attractor: Cover-Image Relations

Creating a Cover with Symmetry

$$\begin{pmatrix} X \\ Y \\ Z \end{pmatrix} \leftarrow \begin{pmatrix} u \\ v \\ w \end{pmatrix} = \begin{pmatrix} \operatorname{Re} (X + iY)^2 \\ \operatorname{Im} (X + iY)^2 \\ Z \end{pmatrix}$$



Cover-Image Branched Manifolds



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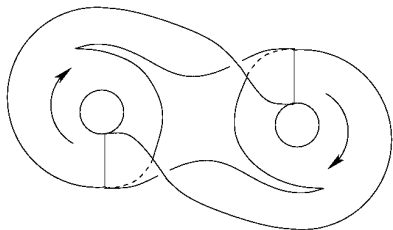
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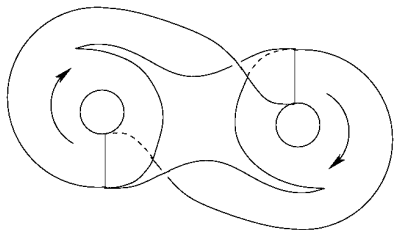
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Two Two-fold Lifts Different Symmetry



**Rotation
Symmetry**



**Inversion
Symmetry**

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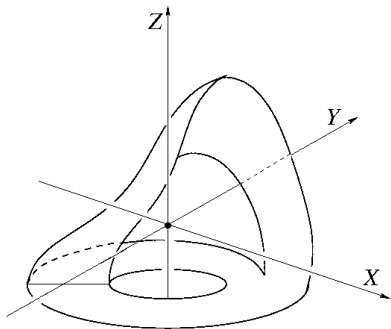
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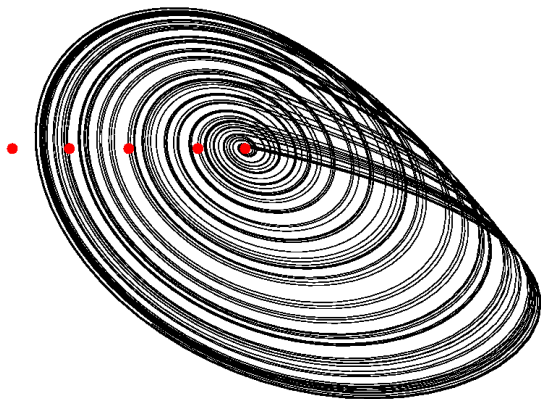
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Topological Index: Choose Group Choose Rotation Axis (Singular Set)



Locate the Singular Set wrt Image

Different Rotation Axes Produce Different (Nonisotopic) Lifts



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Nonisotopic Locally Diffeomorphic Lifts

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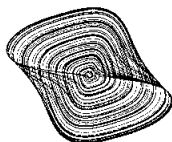
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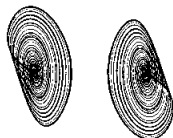
Experimental-
02



(a) $\mu = 0.0$



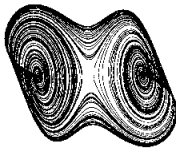
(c) $\mu = -2.083$



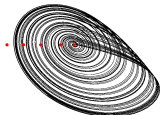
(e) $\mu = -4.166$



(b) $\mu = -0.84548$



(d) $\mu = -3.14674$



Indices $(0,1)$ and $(1,1)$

Two Two-fold Covers Same Symmetry

Chaos: What
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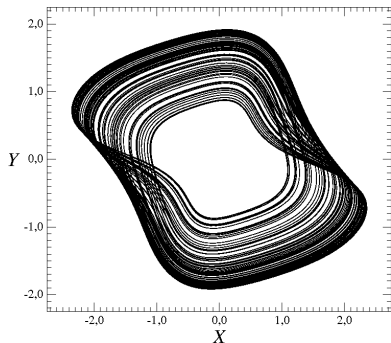
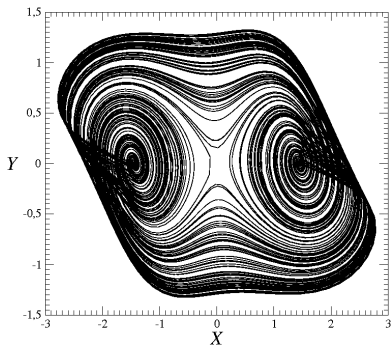
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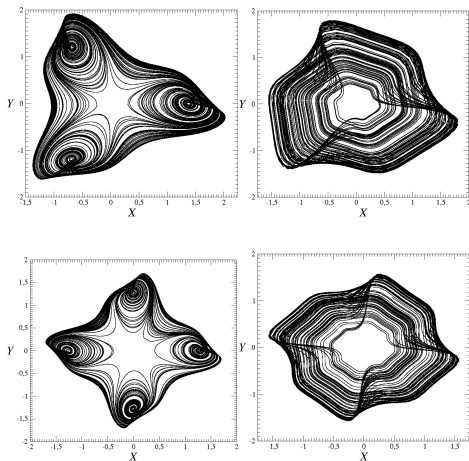
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Three-fold, Four-fold Covers



Two Inequivalent Lifts with V_4 Symmetry

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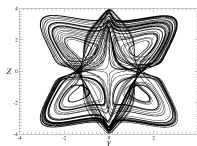
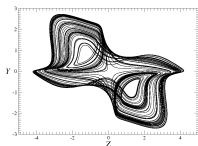
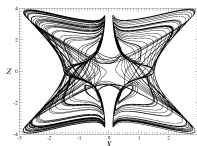
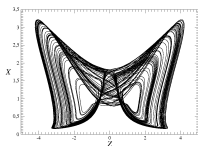
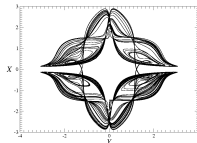
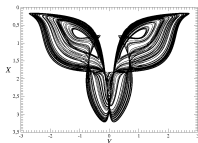
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Algorithm

- Construct Invariant Polynomials, Syzygies, Radicals
- Construct Singular Sets
- Determine Topological Indices
- Construct Spectrum of Structurally Stable Covers
- Structurally Unstable Covers Interpolate

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Symmetries Due to Symmetry

- Schur's Lemmas & Equivariant Dynamics
- Cauchy Riemann Symmetries
- Clebsch-Gordon Symmetries
- Continuations
 - Analytic Continuation
 - Topological Continuation
 - Group Continuation

Chaos: What
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Covers of a Trefoil Torus

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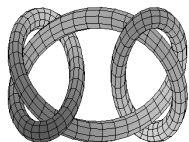
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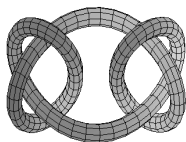
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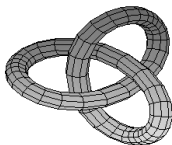
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Granny Knot



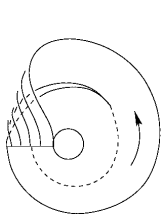
Square Knot



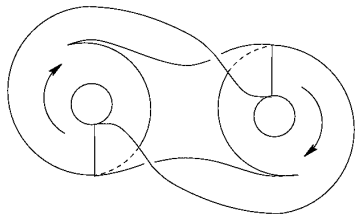
Trefoil Knot

You Can Cover a Cover = Lift a Lift

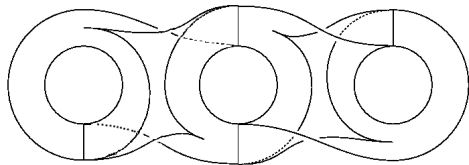
Covers of Covers of Covers



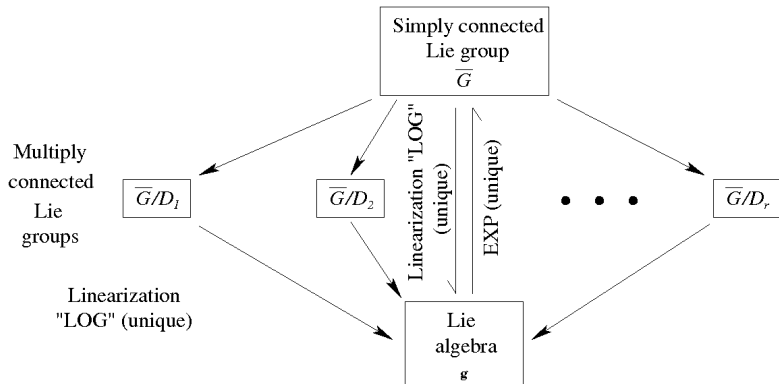
Rossler



Lorenz

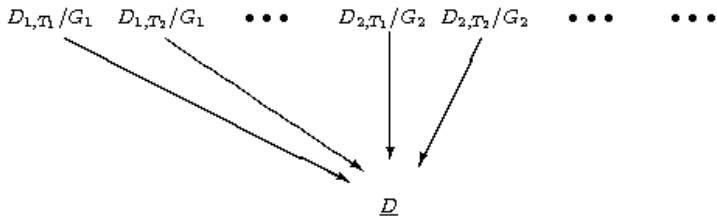


Cartan's Theorem for Lie Groups



Universal Image Dynamical System

Locally Diffeomorphic Covers of \underline{D}



\underline{D} : Universal Image Dynamical System

Rotating the Attractor

$$\frac{d}{dt} \begin{bmatrix} X \\ Y \end{bmatrix} = \begin{bmatrix} F_1(X, Y) \\ F_2(X, Y) \end{bmatrix} + \begin{bmatrix} a_1 \sin(\omega_d t + \phi_1) \\ a_2 \sin(\omega_d t + \phi_2) \end{bmatrix}$$

$$\begin{bmatrix} u(t) \\ v(t) \end{bmatrix} = \begin{bmatrix} \cos \Omega t & -\sin \Omega t \\ \sin \Omega t & \cos \Omega t \end{bmatrix} \begin{bmatrix} X(t) \\ Y(t) \end{bmatrix}$$

$$\frac{d}{dt} \begin{bmatrix} u \\ v \end{bmatrix} = R\mathbf{F}(R^{-1}\mathbf{u}) + R\mathbf{t} + \Omega \begin{bmatrix} -v \\ +u \end{bmatrix}$$

$$\Omega = n \omega_d$$

$$q \Omega = p \omega_d$$

Global Diffeomorphisms

Local Diffeomorphisms
(p -fold covers)

Two Phase Spaces: R^3 and $D^2 \times S^1$

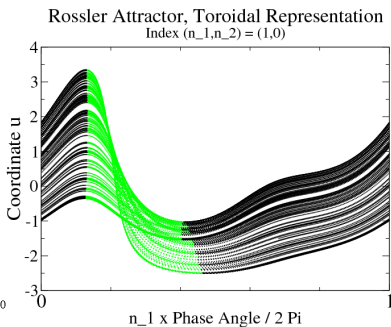
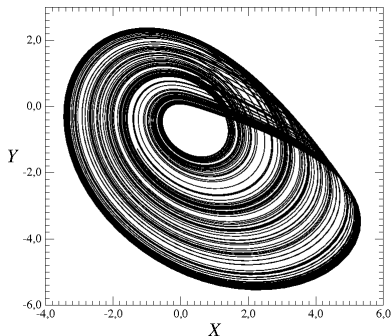
Chaos: What
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Rosler Attractor: Two Representations

R^3

$D^2 \times S^1$



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Other Diffeomorphic Attractors

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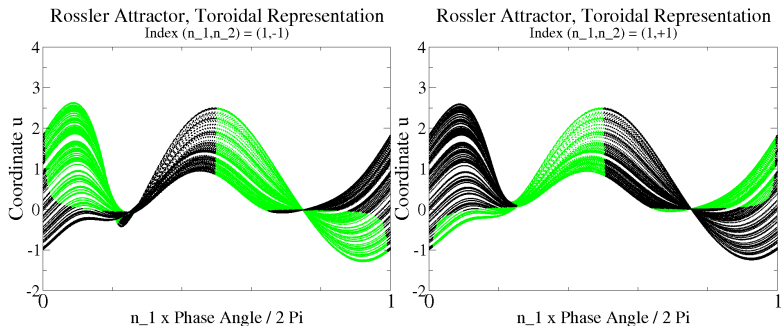
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Rossler Attractor:

Two More Representations with $n = \pm 1$



Subharmonic, Locally Diffeomorphic Attractors

Chaos: What
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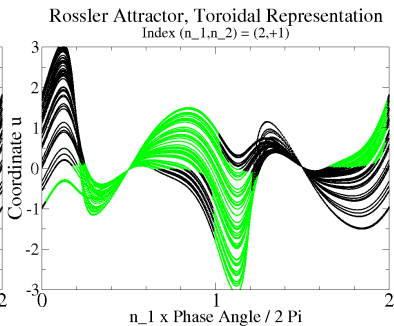
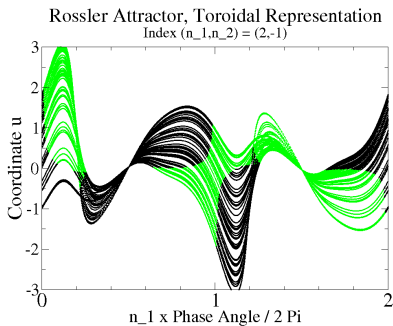
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Rossler Attractor:

Two Two-Fold Covers with $p/q = \pm 1/2$



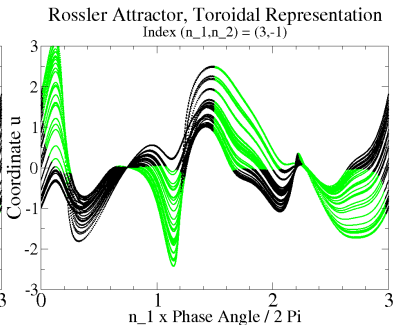
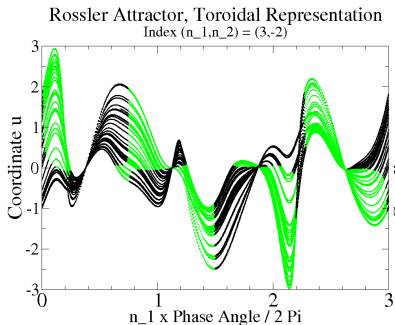
Subharmonic, Locally Diffeomorphic Attractors

Chaos: What
Have We
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Rossler Attractor:

Two Three-Fold Covers with $p/q = -2/3, -1/3$



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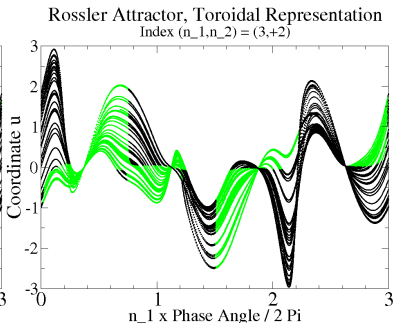
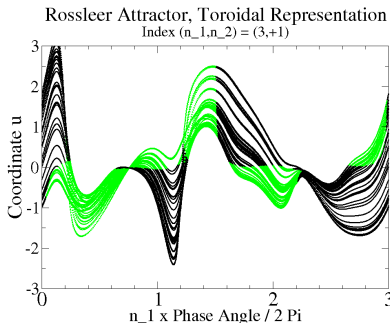
Subharmonic, Locally Diffeomorphic Attractors

Chaos: What
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Rossler Attractor:

And Even More Covers (with $p/q = +1/3, +2/3$)



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Angular Momentum and Energy

$$L(0) = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau X dY - Y dX \quad K(0) = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau \frac{1}{2} (\dot{X}^2 + \dot{Y}^2) dt$$

$$L(\Omega) = \langle uv - vu \rangle \quad K(\Omega) = \left\langle \frac{1}{2} (\dot{u}^2 + \dot{v}^2) \right\rangle$$

$$= L(0) + \Omega \langle R^2 \rangle \quad = K(0) + \Omega L(0) + \frac{1}{2} \Omega^2 \langle R^2 \rangle$$

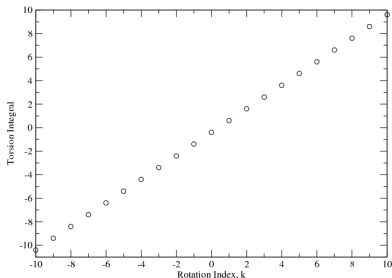
$$\langle R^2 \rangle = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau (X^2 + Y^2) dt = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau (u^2 + v^2) dt$$

New Measures, Diffeomorphic Attractors

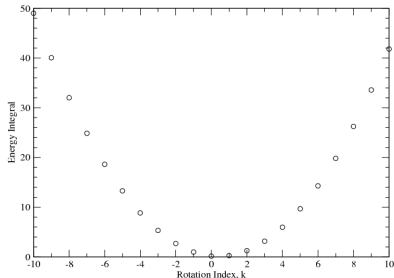
Energy and Angular Momentum

Diffeomorphic, Quantum Number n

Torsion Integral



Energy Integral

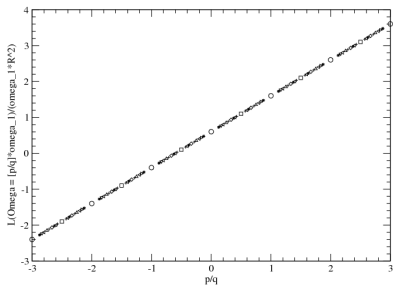


New Measures, Subharmonic Covering Attractors

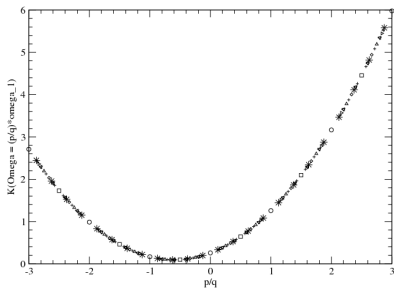
Energy and Angular Momentum

Subharmonics, Quantum Numbers p/q

Torsion Integral



Energy Integral



Embeddings

An embedding creates a diffeomorphism between an ('invisible') dynamics in someone's laboratory and a ('visible') attractor in somebody's computer.

Embeddings provide a *representation* of an attractor.

Equivalence is by Isotopy.

Irreducible is of Minimum Dimension

Inequivalent Irreducible Representations

Irreducible Representations of 3-dimensional Genus-one attractors are distinguished by three topological labels:

Parity	P
Global Torsion	N
Knot Type	KT

$$\Gamma^{P,N,KT}(\mathcal{SA})$$

Mechanism (stretch & fold, stretch & roll) is an invariant of embedding. It is independent of the representation labels.

Equivalent Reducible Representations

Topological indices (P,N,KT) are obstructions to isotopy for embeddings of minimum dimension (irreducible representations).

Are these obstructions removed by injections into higher dimensions (reducible representations)?

Systematically?

Equivalences by Injection

Obstructions to Isotopy

$$\begin{array}{ccc} R^3 & \rightarrow & R^4 \\ \text{Global Torsion} & & \text{Global Torsion} \\ \text{Parity} & & (\text{mod } 2) \\ \text{Knot Type} & & \end{array} \rightarrow R^5$$

There is one *Universal* reducible representation in R^N , $N \geq 5$.
In R^N the only topological invariant is *mechanism*.

Summary

1 Question Answered \Rightarrow 2 Questions Raised

We must be on the right track !

Original Objectives Achieved

There is now a simple, algorithmic procedure for:

- Classifying strange attractors
- Extracting classification information

from experimental signals.

What Have We Learned?

There is now a classification theory
for low-dimensional strange attractors.

- 1 It is topological
- 2 It has a hierarchy of 4 levels
- 3 Each is discrete
- 4 There is rigidity and degrees of freedom
- 5 It is applicable to R^3 only — for now

The Classification Theory has 4 Levels of Structure

Chaos: What
Have We
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The Classification Theory has 4 Levels of Structure

① Basis Sets of Orbits

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The Classification Theory has 4 Levels of Structure

- 1 Basis Sets of Orbits
- 2 Branched Manifolds

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The Classification Theory has 4 Levels of Structure

- 1 Basis Sets of Orbits
- 2 Branched Manifolds
- 3 Bounding Tori

Chaos: What
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The Classification Theory has 4 Levels of Structure

- 1 Basis Sets of Orbits
- 2 Branched Manifolds
- 3 Bounding Tori
- 4 Extrinsic Embeddings

Four Levels of Structure

Chaos: What
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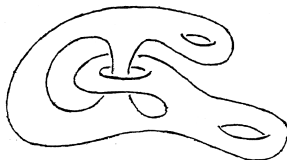
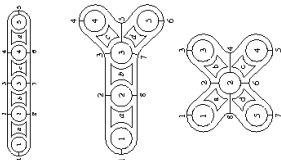
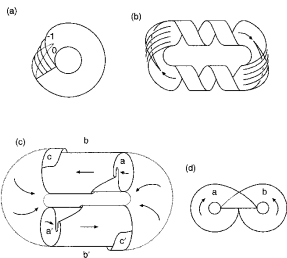
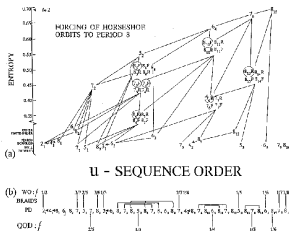
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Poetic Organization

LINKS OF PERIODIC ORBITS

organize

BOUNDING TORI

organize

BRANCHED MANIFOLDS

organize

LINKS OF PERIODIC ORBITS

Some Unexpected Results

- Perestroikas of orbits constrained by branched manifolds
- Routes to Chaos = Paths through orbit forcing diagram
- Perestroikas of branched manifolds constrained by bounding tori
- Global Poincaré section = union of $g - 1$ disks
- Systematic methods for cover - image relations
- Existence of topological indices (cover/image)
- Universal image dynamical systems
- NLD version of Cartan's Theorem for Lie Groups
- Topological Continuation – Group Continuation
- Cauchy-Riemann Symmetries
- Quantizing Chaos
- Representation labels for inequivalent embeddings
- Representation Theory for Strange Attractors

HELP:

- Robust topological invariants for R^N , $N > 3$
- A Birman-Williams type theorem for higher dimensions
- An algorithm for irreducible embeddings
- Embeddings: better methods and tests
- Analog of χ^2 test for NLD
- Better forcing results: Smale horseshoe, $D^2 \rightarrow D^2$, $n \times D^2 \rightarrow n \times D^2$ (e.g., Lorenz), $D^N \rightarrow D^N$, $N > 2$
- A new Representation theory
- Singularity Theory: Branched manifolds, splitting points (0 dim.), branch lines (1 dim).
- Catastrophe Theory \leftrightarrow Nonlinear Dynamics Connection